Trends in premature mortality due to chronic non-communicable diseases in Brazilian federal units

Abstract  Chronic non-communicable diseases (NCDs) have a high mortality rate, mainly in lower and middle income countries. The major groups are cardiovascular disease (CVD), chronic respiratory disease (CRD), cancer and diabetes. The Action Plan to reduce NCDs in Brazil, 2011-2022 established a 2% yearly reduction in the NCD premature mortality rate as a goal. The aim of the study was to analyze trends in premature mortality rates and also show goal achievement scenarios for each Federal Unit (FU). A time series analysis of the standardized mortality rate between 2000-2011 was performed using the linear regression model. The average annual rate of increase and the 95% confidence interval were estimated. Each FU was classified as being likely or unlikely to achieve the goal. The FUs likely to achieve the goal were: for CVD – Federal District, Santa Catarina, Mato Grosso, Rio Grande do Sul, Minas Gerais, Bahia, Espírito Santo and Paraná states; for CRD – Amazonas, Federal District and Paraná. For neoplasms and diabetes, none of the FUs are likely to achieve the goal. The articulation of the three levels of government will allow the strengthening of interventions to reduce the determinants of NCDs and to improve access and quality in health care.

Key words  Chronic disease, Epidemiology, Premature mortality
Introduction

In the context of epidemiological, demographic, and nutritional transitions, as well as the globalization of consumption and eating patterns and living habits, chronic non-communicable diseases (CNCDs) are a major health problem worldwide, especially in middle- and low-income countries. The World Health Organization (WHO) estimates that of the 36 million deaths in 2008, 63% were caused by this group of diseases.1 The low- and middle-income countries account for approximately 80% of deaths worldwide and affect men and women equally.2

In Brazil, CNCDs accounted for 72% of deaths in 2007, particularly those caused by diseases of the circulatory system (DCS) (31.3%), cancer (16.3%), and diabetes mellitus (5.2%).3 In 2004, CNCDs accounted for 62.8% of all deaths due to known causes in Brazil, and the proportion of deaths due to these diseases increased by more than three-fold between 1950 and 2006.4 The increase of CNCDs affects mostly people with lower income and education levels, whether due to exposure to risk factors or less access to health information and services, which ultimately further accentuate social inequalities.5

The impact of the high burden of chronic diseases is expressed in the high rate of years of life lost, in the large volume of work-related disabilities and inability to perform activities of daily living, and also in the large economic impact in the lives of affected people and their families, even leading to impoverishment and social impairment.2

Individuals who suffer from chronic diseases end up removed from the workforce, which results in losses to the Brazilian production sector. Estimates for Brazil suggest that from 2006 to 2015, these losses may reach USD 4.18 billion. Losses related to disability, direct materials costs to patients and families, and impoverishment of families without disregarding the financial impacts on the health system also stand out.6

The aim of the present study is to analyze the trend of premature mortality from CNCDs and the four main groups of causes from 2000 to 2011 in the Federal Units (FUs) and to identify scenarios that comply with the premature mortality reduction goal established by the Plan to Combat CNCDs.

Methods

The present study is an analytical time-series study of mortality rates from CNCDs. The reference population used in the study was the adult population from 30 to 69 years of age who lived in FUs of Brazil, including 26 states and the Federal District. The study population was composed of individuals living in the 27 FUs, aged from 30 to 69 years, who died of an underlying cause coded in the following chapters or groups of causes in the International Classification of Diseases and Related Health Problems - Tenth Revision (ICD-10) (neoplasms (Chapter II - ICD-10: C00 - D48); DCS (Chapter IX - ICD-10: I00 - I99); chronic pulmonary disease (ICD-10: J40- J44); and subgroup of causes diabetes mellitus (ICD-10 E10-E14)) and were registered in the Mortality Information System of the Unified Health System (Sistema de Informações sobre Mortalidade do Sistema Único de Saúde – SIM/SUS) from 2000 to 2011.

To correct death underreporting, correction factors for total deaths produced by the Active
Search of Deaths and Births in the Northeast and Amazon regions were used; these factors were available on the Datasus (Information Technology Department of the Unified Health System) website for the Chapters of ICD-10. To reallocate deaths with ill-defined underlying cause, the deaths were reallocated proportionally to groups of ill-defined causes, excluding Chapter XX - External causes.

The populations of residents in the FUs used in the study were from the Censuses of 2000 and 2010; the populations for years 2001 through 2009 and for 2011 were estimated using the interpolation method and the projection of the Ministry of Health, respectively, available on the Datasus website.

The mortality measures were the specific mortality rates by age range and the mortality rate by a group of causes standardized by age using the direct method. The standard population used was the estimated population of Brazil in 2011.

For the time-series analysis, the Prais-Winsten autoregressive linear regression method was used. This technique displays advantages over simple linear regression because it controls the serial autocorrelation phenomenon between the values of the rates in the years of the time series. To control for existing noise due to random variation, the rates used were smoothed using the moving average of order 3 of the annual rates, and a logarithmic transformation was applied (log base 10) to the moving average of the rates. The Stata Version 11 software was used. Using the regression model, the regression coefficient (beta), its respective 95% confidence interval (95% CI), and the standard error of beta were calculated. With these parameters, the mean annual growth rates of the mortality rates were calculated using the formula described by Antunes and Waldman.

To interpret the time-series trend, a stationary trend was considered when the zero value was within the lower and upper limits of the 95% CI of the mean annual growth rate; an increasing trend was considered when both limits of the 95% CI were positive, and a decreasing trend was considered when both limits of the 95% CI were negative.

To analyze the compliance scenarios of the goals from the Strategic Action Plan to Combat CNCDs in Brazil, 2011-2022, the FUs were reallocated according to gender into two strata (favorable and unfavorable). The first stratum represents the FUs that displayed a trend toward the reduction of mortality from CNCDs during the time series and whose upper limit of the 95% CI of the mean annual growth rate was lower than or equal to -2%; the second stratum comprised the FUs that demonstrated increasing, decreasing, or stationary mean annual growth rates (values of the upper limit of the 95% CI greater than -2%).

The present study has no ethical implications because it uses secondary and grouped data available on the official website of the Ministry of Health, which does not contain information that allows individuals to be identified.

Results

In 2000, the number of deaths in the four groups was 292,665; in 2011, this number reached 322,040 deaths, representing 65.3% and 63.7% of the total deaths from all causes in both years, respectively. Considering the mortality per groups of causes from 2000 to 2011 in individuals aged 30 to 69 years in Brazil, we obtained the following results: (i) neoplasms were the underlying cause of death in 1,186,121 deaths, of which 631,274 (53.22%) were males and 554,847 (46.78%) were females; (ii) diabetes mellitus was the cause of 236,311 deaths, of which 116,634 (49.35%) were males and 119,677 (50.65%) were females; (iii) DCS were the underlying cause of 1,787,322 deaths, of which 1,086,921 (60.81%) were males and 700,401 (39.19%) were females; and (iv) CRDs were the underlying cause of 453,472 deaths, of which 280,941 (61.95%) were males and 172,531 (38.05%) were females.

Tables 1 and 2 show the results of the time-series analysis for the groups of causes and per gender in the FUs.

For DCS in males, all FUs revealed a decreasing trend, except for Acre and Paraíba, which showed a stationary trend. The greatest decreasing mean annual growth rates were observed in the Federal District (-3.63%), Minas Gerais (-3.54%), and Rio Grande do Sul and Paraná (-2.91%); the lowest rates were those found in the states of Paraíba (-0.75%), Tocantins (-1.32%), and Alagoas (-1.45%) (Table 1). In females, a stationary trend was observed in the states of Acre and Roraima and a decreasing trend was observed in the remaining states, notably Amapá (-3.97%), Paraná (-3.55%), and Minas Gerais (-3.45%), which demonstrated the highest percentages of mean annual decrease (Table 2).

For neoplasms, the trend analysis in males showed increased premature mortality risks in
the FUs Paraíba (2.02%), Tocantins (1.61%), Piauí (1.44%), Bahia (0.70%), Rio Grande do Norte (0.61%), and Minas Gerais (0.59%); a decreasing trend was observed for Amapá (-2.88%), the Federal District (-2.14%), Rio de Janeiro (-1.63%), Amazonas (-1.48%), Pará (-1.13%), Ceará (-1%), Santa Catarina (-0.92%), São Paulo (-0.89%), Rio Grande do Sul (-0.54%), and Rondônia (-0.33%); the remaining FUs demonstrated a stationary trend (Table 1). For females, the FUs with an increasing trend were Paraíba (1.42%), Roraima (1.41%), Piauí (0.53%), and Acre and Bahia (0.38%); the FUs with a decreasing trend were Amapá (-1.96%), the Federal District (-1.87%), Rondônia (-1.10%), Espírito Santo (-1.01%), Ceará (-0.95%), Rio Grande do Norte (-0.84%), Rio de Janeiro (-0.71%), Maranhão, Pernambuco (-0.45%), Mato Grosso do Sul (-0.41%), and São Paulo (-0.36%); the remaining FUs demonstrated a stationary trend (Table 2).

For CRDs, the trend in males exhibited an increase in Pernambuco (2.05%), and there was a decreasing trend in the FUs of Roraima (-12.29%), Santa Catarina (-6.06%), Amapá (-5.77%), Rio Grande do Sul (-5.29%), Amazonas (-4.54%), Pará (-4.15%), Rio de Janeiro (-3.88%), Minas Gerais (-3.82%), the Federal District (-3.70%), Pará (-3.69%), São Paulo (-3.24%), Maranhão (-3.03%), Ceará (-2.97%), Espírito Santo (-2.78%), Piauí (-2.58%), Paraíba (-2.11%), Goiás (-2.10%), Rondônia (-1.84%), Mato Grosso do Sul (-1.71%), and Bahia (-1.49%); a stationary trend was observed in the remaining FUs (Table 1). For females, the state of Sergipe (5.10%) exhibited an increasing trend. There was a decreasing trend in the FUs of Amapá (-7.82%), the
Federal District (-4.67%), Amazonas (-4.28%), Paraná (-3.25%), Pará (-3.13%), Minas Gerais (-3.08%), Santa Catarina (-2.73%), Rio Grande do Sul (-2.68%), Piauí (-2.46%), Rondônia (-2.43%), Alagoas (-2.15%), Goiás (-1.96%), São Paulo (-1.46%), Rio de Janeiro (-1.11%), and Bahia (-1.03%); a stationary trend was observed in the remaining FUs (Table 2).

For diabetes mellitus, the trend analysis in males showed an increase in the states of Pará (2.16%), Piauí (2.00%), Maranhão (1.82%), Alagoas (1.66%), and Paraná (0.86%); a decreasing trend in the Federal District (-3.02%), Sergipe (-2.27%), São Paulo (-1.73%), and Pernambuco (-1.10%); and a stationary trend in the remaining states (Table 1). For females, an increasing trend was observed in the state of Piauí (1.40%), whereas a decreasing trend was observed in São Paulo (-3.70%), the Federal District (-3.61%), Sergipe (-2.63%), Santa Catarina (-2.44%), Mato Grosso do Sul (-2.43%), Bahia (-1.92%), Pernambuco (-1.69%), Rio de Janeiro (-1.59%), Espírito Santo (-1.51%), and Minas Gerais (-1.23%); a stationary trend was observed in the remaining FUs (Table 2).

The trend analysis for CNCDs (considering all four groups of causes) in males exhibited a mean annual decrease rate of -1.8% between 2000 and 2011 for Brazil as a whole. A stationary trend was observed for three FUs. The remaining FUs (Table 1).

For females, a mean annual decrease rate of
-1.76% was also observed in the mortality rates from CNCDs in Brazil. Only two FUs exhibited a stationary trend (Acre and Roraima). The FUs with the greatest mean annual decreases were the Federal District (-3.10%), Amapá (-2.26%), Rondônia (-2.59%), Amazonas (-2.43%), Paraná (-2.59%), Espírito Santo (-2.18%), and Rio Grande do Norte (-1.98%). These data are not shown in the figures.

The scenario analysis for compliance with the goal of reducing the premature mortality rate defined by the "Strategic Action Plan to Combat Chronic Non-communicable Diseases (CNCDs) in Brazil 2011 - 2022" can be viewed in Figures 1 and 2 and in Table 1.

For the group of DCS, the following FUs exhibited a favorable scenario for males: Bahia, Minas Gerais, Espírito Santo, Rio de Janeiro, Paraná, Santa Catarina, Rio Grande do Sul, Mato Grosso, and the Federal District. For females, the FUs with a favorable scenario were Rondônia, Amazonas, Pará, Maranhão, Rio Grande do Norte, Pernambuco, Sergipe, Bahia, Minas Gerais, Espírito Santo, São Paulo, Paraná, Santa Catarina, Rio Grande do Sul, Mato Grosso, Goiás, and the Federal District.

For neoplasms, all FUs exhibited an unfavorable scenario for both genders.

For CRDs, Amazonas, Roraima, Minas Gerais, Rio de Janeiro, São Paulo, Paraná, Santa Catarina, Rio Grande do Sul, and the Federal District exhibited a favorable scenario for males. For females, the FUs with a favorable scenario were Amazonas, Minas Gerais, Paraná, and the Federal District.

For the group of diabetes mellitus, all FUs exhibited an unfavorable scenario for meeting the

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Table 2. Regression coefficient (b), mean annual growth rate of standardized mortality rates per groups of causes (%), and corresponding 95% CI. Females. Brazil and FUs, 2000 to 2011.
Some limitations must be emphasized. Initially, the use of SIM secondary data, which displays different levels of underreporting of deaths in the FUs, and the large fluctuations in the mortality rates in states with a very small population, such as those in the North region of the country, were limitations. However, the limitation regarding the underreporting of deaths was minimized using correction factors from the Active Search of Deaths, as well as by the reallocation of deaths with ill-defined causes for the analysis and calculation of specific mortality rates according to the group of cause and gender for each FU. The fluctuation of mortality rates in FUs with small population sizes were smoothed using their moving average.

The following section presents the main results of the study, as well as comparisons with results of studies from other authors.
The time-series analysis of the group of causes of DCS in Brazil showed a decrease for both genders. All FUs, except Acre, Roraima, and Paraíba, exhibited a decreasing trend of premature mortality rates. The magnitude of the mean annual growth rates for DCS was greater than that of the...
remaining groups of causes in both genders in the period analyzed. When analyzing mortality from cardiovascular disease in Brazil and in the metropolitan region of São Paulo from 1990 and 2009, Mansur and Favarato found decreasing mortality rates from DCS for men and women,
especially in the subgroups of causes Ischemic Heart Diseases and Cerebrovascular Diseases. The study from Cesse\textsuperscript{17} analyzed a historical series from 1950 to 2000 and observed a decreasing mortality trend from DCS in capitals from the Southeast region from 1970 to 2000. In the Northeast region, the trend was stationary before the year 2000 and decreased after this period. A study from Schmidt et al.\textsuperscript{18} showed an important decrease in the mortality rates from cardiovasc-
lar disease between 1996 and 2007; however, the authors highlight that despite the decrease, these rates are still high when compared to those of high-income countries and even to countries in South America. A study by Stevens et al.\textsuperscript{19} showed that the group of cardiovascular disease showed the greatest percentage of reduction in a time series from 1991 to 2010.

The present study observed a continuation of the decreasing trend in the Southeast region and a decreasing trend in the Northeast region in the series between the years 2000 and 2011. For DCS, the FUs with an unfavorable scenario for meeting the goals of the Plan to Combat CNCDs are concentrated in the North and Northeast regions. Similarly, a study from Nascimento and Morais Neto\textsuperscript{20} showed a stationary trend for mortality from Ischemic Heart Disease in the North region and a decreasing trend in the Northeast region but with much lower mean annual growth rates than those of the South and Southeast regions. For the group of cerebrovascular diseases, there was a decreasing trend in all regions for both genders\textsuperscript{20}.

In the present study in Brazil, there was a decreasing trend for mortality from neoplasms for both genders in the period from 2000 to 2011, but the mean annual growth rate was much lower than that of DCS. The findings of Fonseca et al.\textsuperscript{21} showed a decrease of 4.6% for men and 10.5% for women in the Brazilian capitals between 1980 and 2004. However, a study by Silva et al.\textsuperscript{23} conducted in Brazilian capitals between 1980 and 2006 showed that the general mortality rate from neoplasms demonstrated an increasing trend for males and a stationary trend for females. A study from Schmidt et al.\textsuperscript{14} showed a small reduction in mortality rates between 1996 and 2007.

The time-series analysis of premature mortality from neoplasms in the FUs showed a decreasing trend in Rondônia, Amapá, Ceará, Rio de Janeiro, São Paulo, and the Federal District in both genders. An increasing trend was identified in Paraíba, Piauí, and Bahia.

For neoplasms, the analysis results showed that all FUs faced an unfavorable scenario to meet the goals of the Action Plan.

There was a decreasing trend of mortality rates from CRDs in Brazil for females and a stationary trend for males. A study by Stevens et al.\textsuperscript{19} showed a decreasing trend in mortality rates from CRDs for both genders in Brazil in a time series from 1996 to 2010.

Based on the analysis of a time series for mortality from CRDs in both genders, the present study showed a decreasing trend in FUs from the following regions: North (Amapá, Rondônia, Amazonas, Pará); Northeast (Piauí, Bahia); Southeast (Minas Gerais, Rio de Janeiro, Brazil); South (Santa Catarina, Rio Grande do Sul, and Paraná); and Midwest (the Federal District); there was an increasing trend in Pernambuco and Sergipe for males and females, respectively.

The stationary trend of premature mortality from CRDs in the states of Acre and Mato Grosso may be associated with the air quality resulting from agricultural burning practices. According to some previous studies, the increased morbidity and mortality rates from CRDs in a FU from the North and Midwest is related to agricultural burning practices in the region of the “arc of deforestation”, which ranges from Paragominas/state of Pará (PA) to Rio Branco/state of Acre (AC)\textsuperscript{23-25}.

For CRDs, the vast majority of FUs faced an unfavorable scenario for meeting the goals for both genders, except for Amazonas, Minas Gerais, Paraná and the Federal District.

For diabetes mellitus, the trend in mortality rates in Brazil was stationary for males and decreasing for females. According to a study by Lessa\textsuperscript{26}, which analyzed data from the 1980s and 1990s, there was an increasing trend for mortality from diabetes mellitus in Brazil in this period, and women faced the highest risk. A study by Stevens et al.\textsuperscript{19} shows a stationary trend between the years 1991 and 2010 for both genders in standardized mortality rates.

In the present study, the time-series analysis for diabetes mellitus in males showed an increasing trend in FUs in the Northeast (Piauí, Maranhão, and Alagoas) and in Pará and Paraná. A decreasing trend was observed for both genders in the Federal District, Sergipe, and Pernambuco, and for females there was a decrease in ten FUs. These findings are in agreement with the results presented in a study by Mattos et al.\textsuperscript{27}, which analyzed trends for mortality from diabetes mellitus in Brazilian state capitals from 1980 to 2007 and found that the highest rates were always observed in state capitals from the Northeast region. When analyzing the time series from 1980 to 2007, these authors showed an increasing trend in most Brazilian state capitals, except for Belo Horizonte, which demonstrated a decreasing trend, and for Macapá, Rio de Janeiro, and São Paulo, which demonstrated stationary trends.

For diabetes mellitus, all FUs face an unfavorable scenario, although the Federal District and São Paulo exhibit a decreasing trend of the mean annual growth rate in females, which meets the goal of the Plan to Combat CNCDs.
Alves CG, Morais Neto OL

The trend analysis of mortality rates in the FUs standardized according to groups of causes and gender allowed the identification of those FUs with a favorable scenario for meeting the goal and those FUs that will likely have difficulty meeting the reduction of 2% per year in the mortality rate from CNCDs.

The present study determines the scenarios of strata of FUs with different possibilities of meeting the goals of the Plan to Combat CNCDs, using the mean annual growth rates estimated by the time-series analysis for each group of causes as parameters.

Recent studies on the time-series analysis of mortality from CNCDs are mainly restricted to municipalities, groups of states, regions, other countries including Cuba, Nigeria, Mexico and Colombia, India, and Portugal or even studies on monitoring risk and protection factors for CNCDs in Brazil and in other countries. However, none of these studies analyzed the trend of CNCDs in all 27 FUs in Brazil, which characterizes the innovative approach of the present study.

The comparison of FUs with unfavorable scenarios for DCS with some indicators of the health supplement of the National Research by Household Sample (Pesquisa Nacional por Amostra de Domicílios - PNAD) of 2008 shows consistency with FUs with higher rates of smoking and physical inactivity, such as Acre, Alagoas, Ceará, Piauí, and Paraíba. For Malta et al., the balance of the first year of the Plan to Combat CNCDs in Brazil points to important advances through the implementation of regulatory measures regarding tobacco, alcohol, and processed food, as well as the investment in preventive and health promotion actions implemented jointly by federal entities. Additionally, the strengthening of primary care and investments in the health services network favor the control of CNCDs nationwide.

To achieve the reduction goal for premature mortality from CNCDs, the most important interventions should be focused on reducing the prevalence of modifiable risk factors. A successful example in Brazil is the National Policy for Tobacco Control.

Tobacco control measures lead to a reduction in the percentage of smokers, a decrease from 34.8% in 1989 to 12.1% in 2012. According to Monteiro et al., a mean annual reduction of 2.5% was observed between 1989 and 2003, a much higher value than that obtained by other countries around the world that implemented tobacco control policies. However, this impact has not been markedly felt in reducing premature mortality from CRDs and neoplasms due to the birth cohort effect that still exists in the adult Brazilian population.

Despite the positive results regarding smoking and the reduction of premature mortality from CNCDs in Brazil, the present study shows that the country needs to intensify its actions to achieve the goals of the Action Plan.

The plan is under way at the federal level, and several FUs have been producing their own plans and implementing actions focused on the major risk factors common to all four disease groups. These actions include surveillance, expansion and qualification of the comprehensive care of people living with chronic diseases, as well as health promotion interventions on the determinants of these diseases.

However, a major challenge is the required increased investments in the healthcare network focusing on the prevention, diagnosis, and treatment of neoplasms and diabetes mellitus, especially in the North and Northeast regions of the country.

Conclusions

The trend analysis of premature mortality from CNCDs shows great advances in the decrease of DCS as well as in the other groups of causes in some FUs. However, major challenges such as the reduction of premature mortality from neoplasms and diabetes mellitus should be prioritized, whether regional differences, social inequities in health, prevention of major modifiable risk factors for CNCDs, access and quality of healthcare services, and other aspects still need additional studies for further clarification. These additional aspects include differentiating the determinants of premature mortality in the FUs from the South and Southeast regions when compared to the North and Northeast and finding the determinants involved in the production of gender differentials.

Conversely, the study strengths include the ability to estimate mortality trends according to the four main groups of causes in the FUs, the use of qualified mortality data, and the ability to identify scenarios for meeting the goals of the Plan to Combat CNCDs.

This analysis of scenarios guides the three levels of government in the prioritization of FUs and the municipalities that face greater difficulty in meeting the goals and sets a baseline for monitoring the Plan in the country. Additionally, it
supports the review of inequities between FUs and gender, which should be two priorities that are more emphatically considered in the Action Plan to Combat CNCDs.

Collaborations

CG Alves and OL Morais Neto participated in the conception, design, analysis, and interpretation of data, as well as in writing and revising the article.

References


