Objective. To study the epidemiology of rotavirus and estimate rotavirus-associated morbidity and mortality in children ≤5 years of age in Brazil in 2004 before introducing the rotavirus vaccine in Brazil’s National Immunization Program (Programa Nacional de Imunizações, PNI).

Methods. To estimate rotavirus morbidity, published studies (1999–2006) addressing incidence of acute diarrhea among children ≤5 years of age and frequency of rotavirus infection among children with diarrhea in Brazil were reviewed. Diarrhea episodes were divided into three categories of severity by level of care: mild cases requiring only home-based care; moderate cases requiring a visit to an outpatient healthcare facility; and severe cases requiring hospitalization. To estimate rotavirus mortality, information on the number of registered deaths from diarrhea in children ≤5 years of age was obtained from the Mortality Information System (Sistema de Informação sobre Mortalidade, SIM) of Brazil’s public healthcare system (Sistema Único de Saúde, SUS) and the proportion of deaths due to rotavirus was calculated.

Results. Rotavirus infections were estimated to cause 3,525,053 episodes of diarrhea, 655,853 visits to outpatient healthcare facilities, 92,453 hospitalizations, and 850 deaths of children ≤5 years of age each year in Brazil.

Conclusion. Rotavirus infections are an important cause of child morbidity and mortality in Brazil.

Key words. Rotavirus, mortality, morbidity, Brazil.
ments, regional and socioeconomic differences in diarrhea morbidity and mortality persisted into the 1990s, even though access to healthcare services improved countrywide and the use of ORT did not vary significantly across different regions (3–4). In Southeast Brazil, which has better average socioeconomic conditions than other parts of the country, the sharp reduction in mortality due to diarrhea was accompanied by changes in seasonal patterns (5–6). Analysis of the temporal patterns of hospitalization and deaths from diarrhea among children ≤ 5 years of age in the Southeastern state of Rio de Janeiro from 1995 to 1998 revealed winter rather than summer peaks, suggesting viruses are the predominant etiologic agents of severe diarrhea (5–6). Diarrhea caused by bacteria and parasites, which are transmitted mainly through contaminated food or water, is more prevalent during the summer, as opposed to diarrhea caused by rotavirus infection, which is spread from person-to-person and is more frequent in the cold and dry season (7).

Rotavirus is the most common cause of severe diarrhea among children, with an estimated 111 million diarrhea episodes, 25 million outpatient healthcare facility visits, 2 million hospitalizations, and 440,000 deaths among children ≤ 5 years worldwide attributable to the disease each year (8). By 5 years of age, nearly all children will have had an episode of rotavirus gastroenteritis. While the incidence of rotavirus infections does not differ significantly between developing and industrialized countries, most deaths occur in underdeveloped countries, where infection seems to occur earlier in life and a broad diversity of rotavirus strains are observed (8).

The similar incidence of rotavirus infections in both industrialized and developing countries suggests that it can not be controlled through improved water supply and sanitation alone (7). Therefore, to prevent severe rotavirus-associated disease and deaths, the universal use of rotavirus vaccine has been recommended (9). Two new live oral vaccines have recently been made available commercially—a monovalent attenuated G1P[8] human rotavirus vaccine (10) and a pentavalent human-bovine reassortant rotavirus vaccine containing human serotypes G1, G2, G3, G4, and P[8] (11). Both products have demonstrated efficacy against severe rotavirus-associated disease and a reassuring safety profile in phase 3 trials (10–11). The accelerated introduction of rotavirus vaccine in national immunization programs, particularly in developing countries, is considered a priority by the World Health Organization (WHO) (9).

The sentinel surveillance system for diarrheal disease, proposed by the Brazilian Ministry of Health (MOH) in 1994 and currently active in around 80% of municipalities, has identified an increasing number of outbreaks and provided a great deal of information about rotavirus infection in different regions of Brazil. Knowledge of the epidemiology of rotavirus in the country as a whole remains limited, however, due to the lack of population-based national studies (12–13).

The main objectives of this study were to understand the epidemiology of rotavirus infection in Brazil in the pre-vaccine era and to estimate rotavirus-associated disease and death in children ≤ 5 years of age in order to provide data for a baseline epidemiological diagnosis that may be useful for cost-effectiveness studies as well as rotavirus vaccine impact monitoring.

MATERIALS AND METHODS

Selection of studies

To identify studies of frequency of rotavirus disease among children ≤ 5 years of age in Brazil, a computer search of scientific literature was conducted in PubMed and LILACS (Latin American and Caribbean Literature on Health Sciences) databases using the keywords “diarrhea” and “Brazil” simultaneously. Cross-sectional surveys and longitudinal community-based cohort studies published in Portuguese and English between 1999 and March 2006 were selected.

For studies that included results on diarrhea prevalence but not estimated annual incidence, the 2-week prevalence rate was converted to a 2-week incidence rate as described below (14):

\[
2\text{-week} \times \frac{14}{\text{days}} = 2\text{-week diarrhea prevalence + average duration of diarrhea episode}
\]

The average duration of a diarrhea episode was estimated as 3 days.

The 2-week diarrhea incidence rate was then multiplied by 26 (the number of 2-week periods in a year) (14) to estimate annual incidence of diarrhea (per child). Correction for seasonality was not applied.

National administrative data were also collected on hospitalization of children ≤ 5 years of age for diarrhea3 by the public healthcare system (Sistema Único de Saúde, SUS) in 2004, based on registration records from the SUS Hospital Information System (Sistema de Informações Hospitalares, SIH) (15), and number of deaths of children ≤ 5 years of age from diarrhea in 2003 (the last year for which definitive data were available), based on registration records from the national public health database (Departamento de Informática do Sistema Único de Saúde, DATASUS) Mortality Information System (Sistema de Informação sobre Mortalidade, SIM) (16). These data are freely available and in the public domain (15–16).

To identify studies of frequency of rotavirus disease among children ≤ 5 years of age with diarrhea in Brazil, a computer search of scientific literature was conducted in PubMed and LILACS using the keywords “rotavirus” and “Brazil” simultaneously. Community-based and healthcare services studies published in Portuguese and English between 1999 and March 2006 were selected. The review included studies that lasted at least 12

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3 As per WHO International Statistical Classification of Diseases and Related Health Problems 10th Revision (ICD-10) disease codes A00 to A09.
Estimates of rotavirus-associated disease and deaths

To estimate the impact of rotavirus-associated diarrhea among children ≤5 years of age in Brazil, a model used by Parashar et al. (8) was adopted. The population of Brazilian children ≤5 years of age in 2004 (18) was multiplied by the estimated annual incidence of diarrhea. These episodes of diarrhea were then sorted into three categories of severity by level of care: mild cases requiring only home-based care, moderate cases requiring care in outpatient healthcare facilities, and severe cases requiring hospitalization. Next, the total number of episodes in each category was multiplied by the estimated proportion attributable to rotavirus to yield the number of rotavirus cases in each category.

To estimate annual incidence of rotavirus-associated diarrhea per child, the number of reported episodes of mild, moderate, and severe diarrhea was divided by the estimated number of children under 5 in the 2004 cohort (18). These annual incidence figures were then multiplied by 5 in order to estimate the 5-year cumulative incidence of rotavirus-associated diarrhea per child.

To estimate the number of deaths from rotavirus-associated diarrhea in Brazil, the number of deaths of children ≤5 years of age from diarrhea in 2003 (16) was multiplied by the proportion of rotavirus among children with severe diarrhea.

The risk of dying from rotavirus by age 5 was then calculated by dividing the number of births in Brazil in 2004 (3 210 361) (Instituto Brasileiro de Geografia e Estatística, IBGE) by the number of estimated rotavirus-associated losses in the cohort of children under 5 in 1 year (assuming the number of deaths in a birth cohort followed for 5 years is similar to the number of deaths in a cohort of children under 5 followed for 1 year).

RESULTS

Incidence of diarrhea episodes among children ≤5 years of age

Five surveys and five prospective longitudinal studies of diarrhea episodes in children ≤5 years of age in Brazil were identified (Table 1) (4; 19–27). Most involved local or regional communities, and one longitudinal study lasted less than 12 consecutive months (23). There were significant regional differences in the incidence of diarrhea in children ≤5 years of age in Brazil (Table 1), ranging from 1.04 episodes per child per year in the city of São Paulo in Southeast Brazil (26) to 5.55 among children living in households without proper sanitation in Salvador (Bahia state) in Northeast Brazil (21). The results of a national cross-sectional survey conducted in 1996 that estimated the incidence of diarrhea in children ≤5 years of age at 3.1 episodes per child per year countrywide (4.06 for the Northeast region and 2.48 for the rest of the country) (4) was adopted to calculate the number of diarrhea episodes. The proportions of mild, moderate, and severe episodes were calculated based on a community-based study conducted in Salvador in which the proportion of children with diarrhea who required an outpatient healthcare facility visit was 11.6% and the proportion of children who required hospitalization was 0.7% (22). In a community-based study conducted in São Paulo, the proportion of children with diarrhea who required hospitalization was 0.76% (26).

Frequency of rotavirus detection among children with diarrhea

Fifteen studies that met the criteria for inclusion were identified, eight of them involving hospitalized children and nine involving children treated at outpatient healthcare facilities or mixed (outpatient + hospital) facilities. The frequency of rotavirus detection among children ≤5 years of age hospitalized for diarrhea in Brazil ranged from 15% to 36.7%, with a median of 29.2% (Table 2) (29–36). Among children ≤5 years of age with diarrhea seen at outpatient healthcare facilities, the frequency of rotavirus detection...
ranged from 3.8% to 23%, with a median of 12.5% (Table 3) (32–33; 36–41). Only two community-based studies reported the frequency of rotavirus detection. In one of them, involving children < 3 years of age with diarrhea in Fortaleza (Ceará state), rotavirus was detected in 6% (12 out of 199 cases) (19). In the other, which included children < 4 years of age with diarrhea in Salvador, rotavirus infections were found in 7.9% (11 out of 139 cases) (23).


<table>
<thead>
<tr>
<th>Author, year of publication (reference)</th>
<th>City (state), period</th>
<th>Study characteristics</th>
<th>Methodology and study definitions</th>
<th>Age (years)</th>
<th>n</th>
<th>Incidence (No. of diarrhea episodes per child/year)</th>
<th>Type of healthcare facility visits: Hospitalization:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lima, 2000 (19)</td>
<td>Fortaleza (Ceará), Aug 1989–Apr 1993 (phase 1)</td>
<td>Prospective study, visited home 3×/week; diarrhea = ≥ 3 loose stools / day</td>
<td>≤ 4</td>
<td>189</td>
<td>5.25</td>
<td>NR</td>
<td></td>
</tr>
<tr>
<td>Moore, 2000 (20)</td>
<td>Fortaleza (Ceará), May 1993–Dec 1996 (phase 2)</td>
<td>Prospective study, visited home 2×/week; diarrhea = ≥ 3 loose stools / day</td>
<td>≤ 3</td>
<td>315</td>
<td>3.6</td>
<td>NR</td>
<td></td>
</tr>
<tr>
<td>Moraes, 2003 (21)</td>
<td>Salvador (Bahia), 1989–1990 (12 months)</td>
<td>Prospective study, visited home 1×/week; diarrhea = mothers’ definition</td>
<td>&lt; 5</td>
<td>1 275</td>
<td>1.73 (for children living in neighborhoods with both drainage and sewerage systems) 3.32 (for children living in neighborhoods with a drainage system but no sewerage system) 5.55 (for children living in neighborhoods without a drainage system or a sewerage system)</td>
<td>NR</td>
<td></td>
</tr>
<tr>
<td>Strina, 2005 (22)</td>
<td>Salvador (Bahia), Dec 1997–Apr 1999</td>
<td>Prospective study, visited home 2×/week; diarrhea = ≥ 3 loose stools / day</td>
<td>&lt; 3</td>
<td>1 156</td>
<td>2.73</td>
<td>Outpatient healthcare facility visits: (11.6%) Hospitalization: (0.7%)</td>
<td></td>
</tr>
<tr>
<td>Barreto, 2006 (23)</td>
<td>Salvador (Bahia), Jul 2001–Jan 2002</td>
<td>Prospective study, visited home 2×/week; diarrhea = mothers’ definition</td>
<td>&lt; 4</td>
<td>1 233</td>
<td>4.97</td>
<td>NR</td>
<td></td>
</tr>
<tr>
<td>Vázquez, 1999 (24)</td>
<td>Olinda and Recife (Pernambuco), Apr–May 1992 and Apr–May 1994</td>
<td>2 surveys (&quot;diarrhea within last 15 days&quot;); diarrhea = mothers’ definition</td>
<td>&lt; 5</td>
<td>2 646 2 790</td>
<td>2.7</td>
<td>NR</td>
<td></td>
</tr>
<tr>
<td>Escuder, 1999 (25)</td>
<td>Embu (São Paulo metropolitan area), Nov 1994–Jan 1995</td>
<td>Survey (&quot;diarrhea within last 15 days&quot;); (diarrhea definition, NR)</td>
<td>≤ 1 1–4</td>
<td>346 338</td>
<td>1.37c 1.99c</td>
<td>NR</td>
<td></td>
</tr>
<tr>
<td>Benicio, 2000 (26)</td>
<td>São Paulo (São Paulo), Sep 1995–Aug 1996</td>
<td>Survey (&quot;diarrhea on day of interview and within last 15 days&quot;); &quot;hospitalization for diarrhea in last year&quot;); diarrhea = ≥ 3 loose stools / day</td>
<td>&lt; 5</td>
<td>1 280</td>
<td>1.04</td>
<td>Hospitalization: (0.76%)</td>
<td></td>
</tr>
<tr>
<td>Vieira, 2003 (27)</td>
<td>Feira de Santana (Bahia), 2001</td>
<td>Survey (&quot;diarrhea within last 15 days&quot;); diarrhea = mothers’ definition</td>
<td>&lt; 1</td>
<td>2 323</td>
<td>2.47c</td>
<td>NR</td>
<td></td>
</tr>
<tr>
<td>Sastry, 2005 (4)</td>
<td>Brazil (National Survey), Mar–Jun 1996</td>
<td>Demographic and Health Survey (&quot;diarrhea within last 15 days&quot;); diarrhea = mothers’ definition</td>
<td>&lt; 5</td>
<td>4 782</td>
<td>Northeast Brazil: 4.06 Rest of country: 2.48 Overall (Brazil): 3.17</td>
<td>NR</td>
<td></td>
</tr>
</tbody>
</table>

*a Number of patients. 

b Not reported. 

c Authors’ estimates based on 2-week prevalence reported in original study (without correction for seasonality) (14).
Estimates of rotavirus-associated diarrhea episodes

The estimated number of diarrhea episodes attributable to rotavirus in each of the three categories of severity by level of care (hospital, outpatient healthcare facilities, and home-based) and the estimated total number of rotavirus-associated diarrhea episodes in children ≤ 5 years of age in Brazil are shown in Table 4. In the base-case analysis, the incidence of rotavirus-associated diarrhea was estimated using the median rates of rotavirus detection in each category. The incidence of rotavirus-associated diarrhea in “best-case” and “worst-case” scenarios
was also calculated, using the frequency of rotavirus infection according to the lowest and highest rates of rotavirus detection in the reviewed studies (Table 4). In the base-case analysis, among children < 5 years of age, an estimated 3 525 053 diarrhea episodes, 655 853 visits to outpatient healthcare facilities, and 92 453 hospitalizations related to rotavirus occurred each year in Brazil.

The estimated incidence of rotavirus-associated diarrhea among children < 5 years of age is 0.242 episodes per child per year (base-case analysis), with a low-case to high-case range from 0.18 to 0.306. The 5-year cumulative incidence of rotavirus-associated diarrhea is presented in Table 5.

### Estimates of deaths from rotavirus-associated diarrhea

There were 2 913 deaths from diarrhea—4.3% of all deaths (67 846) of children ≤ 5 years of age in Brazil in 2003 (16). Children in their first year of life accounted for most of these deaths (2 387 or 81.9%).

Multiplying the number of diarrhea deaths by the proportion of rotavirus detection in children hospitalized for diarrhea (median, 29.2%; minimum, 15%; and maximum, 36.7%) resulted in an estimated 850 (minimum, 437; maximum, 1 069) deaths of children ≤ 5 years of age from rotavirus-associated diarrhea in Brazil in 2003.

The risk of dying from rotavirus-associated diarrhea by age 5 is presented in Table 5.

### DISCUSSION

Estimates of the impact of rotavirus-associated diarrhea in childhood in Brazil in the pre-vaccine era were calculated using national data from published research and from the SIH/SIM Information Systems. These estimates indicated rotavirus infections are an important factor in morbidity and mortality among Brazilian children ≤ 5 years of age, causing 3 525 053 episodes of diarrhea, 655 853 visits to outpatient healthcare facilities, 92 453 hospitalizations, and 850 deaths per year, using base-case estimates, or 1.2 episodes of rotavirus-associated diarrhea per child in the first 5 years of life.

It should be noted that these estimates reflect the situation a decade ago, when most of the studies included in this review were conducted. To incorporate parameter uncertainties in the study estimates, additional “best-case” and “worst-case” scenarios were calculated.

Another limitation of this study is that the fact that most of the literature reviewed involved selected populations that may not be representative of the entire country. The estimated proportions of diarrhea episodes distributed across the three categories of severity by level of care—home-based care (87.7%), a visit to an outpatient healthcare facility (11.6%), or hospitalization (0.7%)—were based on only two local studies. While there are still significant regional differences in diarrhea morbidity in Brazil, healthcare access, particularly for primary care and hospital care for acute conditions for children ≤ 5 years old, is not significantly different and is of an acceptable quality in urban areas countrywide (3). One interesting finding is that the proportions of diarrhea episodes by level of care observed in Brazilian studies were similar to those found in a Chilean study involving ≤ 11-month-old infants and 1- to 4-year-old children. These study results, respectively, were as follows: 88.2% and 91.9% of diarrhea episodes required only home-based care, 10.3% and 7.9% required an outpatient healthcare facility visit, and 1.5% and 0.2% required hospitalization (8). There are no official data on the number of visits to outpatient healthcare facilities due to diarrhea in Brazil, but the number of hospital registrations for diarrhea, as per the SUS Hospital Information Systems.
tem, provided an essential reference point that strengthened this study’s estimates, with the two independent approaches used to calculate hospitalizations for diarrhea providing nearly identical numbers.

Although rotavirus is most frequently detected in Brazilian children with severe diarrhea, great variations were observed in the proportions of rotavirus detection across different studies—from 15% to 36.7% (median 29.2%) in hospitalized children, and from 3.8% to 23% (median 12.5%) in children cared for in outpatient healthcare facilities. A high degree of variation in rotavirus detection has also been observed in other studies conducted in South America (42–48) (Table 6). This variation could be due to the difference in age groups across the study populations, which included children under 2 years in Peru (48); children under 3 in Argentina, Chile, and Venezuela (44); and children under 5 in Venezuela (45) and El Salvador (46). It may also reflect differences in the sensitivity of the assays used across studies and/or geographic and temporal differences in the prevalence of the various etiologic agents—i.e., competing pathogens—of diarrheal disease. In a review of severe diarrhea in childhood, Parashar et al. (7) observed a 17% increase in the rate of rotavirus among children hospitalized for diarrhea—from 22% (low-case scenario, 17%; high-case scenario, 28%) in studies published between 1986 and 1999 to 39% (low-case scenario, 29%; high-case scenario, 45%) in studies published from 2000 to 2004.

This study’s estimates for rotavirus-associated diarrhea for the 2004 Brazilian birth cohort’s first 5 years of life compared reasonably well with those from other studies (49), whose calculations indicated 712,249 visits to a healthcare facility (very close to this study’s base-case scenario estimate of 655,853) and 120,513 hospitalizations (very close to this study’s high-case scenario estimate of 116,199) due to rotavirus-associated diarrhea. However, other studies’ estimates of rotavirus-associated deaths (2,475) seems overestimated, considering there were 2,913 deaths among children under 5 from all causes of diarrhea in Brazil in 2003, as per national health database (DATASUS) mortality records, representing 4.3% of all deaths of children in that age group.

Since March 2006, the monovalent human attenuated rotavirus vaccine has been included in Brazil’s National Immunization Program, recommended for administration to all children at 2 and 4 months of age. The main objective of this immunization program is to prevent hospitalizations and deaths from severe rotavirus-associated disease. This study’s estimates of rotavirus-associated morbidity and mortality among Brazilian children ≤5 years of age in the pre-vaccine era may serve as a baseline to monitor the impact of the rotavirus vaccine’s introduction in routine childhood immunization in Brazil.

Acknowledgment. This study is part of a project evaluating the economic impact of introducing new vaccines into Brazil’s National Immunization Program, supported by the Brazilian Ministry of Health.

<table>
<thead>
<tr>
<th>Location (reference)</th>
<th>Hospital (%)</th>
<th>Outpatient or mixed facility (%)</th>
<th>Community-based study (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Brazil (this study)</td>
<td>29.2 (15–36.7)</td>
<td>12.5 (3.8–23)</td>
<td>7 (6–7.9)</td>
</tr>
<tr>
<td>Latin America (42)</td>
<td>31 (16–52)</td>
<td>30.5 (4–42)</td>
<td>NR</td>
</tr>
<tr>
<td>Argentina (43)</td>
<td>20 (6–54)</td>
<td>9 (5–22)</td>
<td>10</td>
</tr>
<tr>
<td>Argentina (44)</td>
<td>71</td>
<td>39</td>
<td>NR</td>
</tr>
<tr>
<td>Chile (44)</td>
<td>47</td>
<td>34</td>
<td>NR</td>
</tr>
<tr>
<td>Venezuela (44)</td>
<td>38</td>
<td>29</td>
<td>NR</td>
</tr>
<tr>
<td>Venezuela (45)</td>
<td>33</td>
<td>23</td>
<td>NR</td>
</tr>
<tr>
<td>El Salvador (46)</td>
<td>35</td>
<td>27</td>
<td>NR</td>
</tr>
<tr>
<td>Peru (47)</td>
<td>32 (12–52)</td>
<td>19</td>
<td>3.4 (2.6–4.2)</td>
</tr>
<tr>
<td>Peru (48)</td>
<td>41</td>
<td>NR</td>
<td>NR</td>
</tr>
</tbody>
</table>

*Not reported.*
REFERENCES


21. Sartori et al. • Rotavirus morbidity and mortality in children in Brazil Original research

FROM:


42. Sartori et al. • Rotavirus morbidity and mortality in children in Brazil Original research

FROM:

FROM:

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FROM:

FROM:

FROM:

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**RESUMEN**

**Objetivos.** Analizar la epidemiología del rotavirus y estimar la morbilidad y la mortalidad asociadas con las infecciones por rotavirus en niños ≤ 5 años de edad en Brasil en 2004, antes de incluir la vacuna contra el rotavirus en el Programa Nacional de Inmunizaciones (PNI).

**Métodos.** Para estimar la morbilidad por rotavirus se revisaron los estudios publicados (1999–2006) que abordaban la incidencia de diarrea aguda en niños ≤ 5 años de edad y la frecuencia de las infecciones por rotavirus en niños con diarrea en Brasil. Los casos de diarrea se dividieron en tres categorías de gravedad según el nivel de atención que requirieron: casos leves que solo requirieron atención domiciliaria, casos moderados que requirieron la visita a un servicio ambulatorio de salud y casos graves que requirieron hospitalización. Para estimar la mortalidad por rotavirus se utilizó el número de muertes registradas por diarrea en niños de ≤ 5 años, según el Sistema de Información sobre Mortalidad (SIM) del Sistema Único de Salud (SUS) de Brasil, y se calculó la proporción de muertes causadas por este virus.

**Resultados.** Se estimó que las infecciones por rotavirus causan anualmente 3 525 053 casos de diarrea, 655 853 visitas a servicios ambulatorios de salud, 92 453 hospitalizaciones y 850 muertes en niños ≤ 5 años de edad en Brasil.

**Conclusiones.** Las infecciones por rotavirus constituyen una importante causa de morbilidad y mortalidad en Brasil.

**Palabras clave** Infecciones por rotavirus, morbilidad, mortalidad, Brasil.