The shape of the HIV/AIDS epidemic in Puerto Rico

Maria A. Gomez,1 Diana M. Fernandez,1 Jose F. Otero,1 Sandra Miranda,2 and Robert Hunter1

ABSTRACT

This study presents information on AIDS patients in Puerto Rico, including their general sociodemographic profile, some risk-related parameters, characteristics of vulnerable groups, and elements of the clinical spectrum of the disease. Data were analyzed from the Puerto Rico AIDS Surveillance Program and available studies about the HIV/AIDS epidemic in Puerto Rico. A total of 23,089 AIDS cases was reported to the Puerto Rico AIDS Surveillance Program from January 1981 through February 1999. The HIV/AIDS epidemic has affected mostly males and females between the ages of 30 and 49, though cases have also been reported for other age groups. The cumulative proportion of persons with AIDS who are women has increased tremendously, from 11.4% for the 1981–1986 period to 21.6% for the entire 1981–1999 period. In Puerto Rico the category of injecting drug users (IDUs) accounts for the majority of the AIDS cases (52%), followed by heterosexual contact (22%), and men who have sex with men (17%). The three main diagnoses for AIDS on the island are wasting syndrome (30.7%); esophageal, bronchial, and lung candidiasis (29.4%); and Pneumocystis carinii pneumonia (26.8%). According to 1994 vital statistics for Puerto Rico, AIDS was the fourth-leading cause of death. The overall reported AIDS mortality rate was 42.0 per 100,000 persons, with the rate for males, 67.8, much higher than it was for females, 17.4. AIDS is the first cause of death among persons between 30 and 39 years old. Intense efforts are needed to better understand the epidemic in Puerto Rico and its biology, social and family impacts, and financial costs.

In 1992 the noted researcher Jonathan Mann and colleagues of his said that the global HIV/AIDS epidemic was still volatile, dynamic, and unstable; that its major impacts were yet to come; and that its shape and future depended on individual and collective human behavior (1). Since then, considerable progress has been made in understanding the HIV/AIDS epidemic, the determinants and distribution of that epidemic in different populations, the clinical spectrum of the epidemic, and its synergistic effects with other epidemics. Nevertheless, there is much that needs to be better understood.

Estimates of the magnitude of the epidemic are generally based on reported AIDS cases, which are believed to be underreported around the world. In 1992, according to the World Health Organization (WHO), 12.9 million people around the world were infected with the HIV virus. According to a WHO report from December 1998, over the ensuing six years, the number of people infected rose to 33.4 million, an increase of 159% (2). The Joint United Nations Programme on HIV/AIDS (UNAIDS) and WHO estimated that 16,000 persons per day became HIV-infected during 1998 (3). Up through December 1998, 41.6% of all AIDS cases had died, with 2.5 million deaths in 1998 alone.

Even as different aspects of the epidemic are better defined and there is an immense global effort against HIV and AIDS, the HIV virus continues to spread. This is particularly true in the
developing world, where more than 95% of all HIV-infected people live. UNAIDS and WHO have reported that in most of Latin America and the Caribbean the pattern of HIV spread is much the same as in industrialized countries, with the main modes of transmission being men having unprotected sex with other men, and injecting drug users (IDUs) sharing needles (3).

In Puerto Rico a total of 23,089 AIDS cases was reported to the Puerto Rico AIDS Surveillance Program from January 1981 through February 1999 (4). According to data from the United States Centers for Disease Control and Prevention (CDC), Puerto Rico had the fourth-highest incidence of AIDS cases among the states and territories of the United States of America during the period of July 1997 through June 1998 (5). The rate for Puerto Rico, 52.8 per 100,000 inhabitants, was only exceeded by the incidence rates in the District of Columbia (178.3), the state of New York (62.5), and the U.S. Virgin Islands (55.9).

Similar results come from looking at data for the major metropolitan areas of the United States with the highest incidence of AIDS cases over a period of several years, including San Juan, Puerto Rico’s capital and largest city (Table 1). Of the seven metropolitan areas shown in Table 1, San Juan was the only one that showed an increase for the 12-month period ending with June 1997. And while all seven of the metropolitan areas showed decreases in incidence rates for the 12 months ending in June 1998, San Juan had the smallest of those declines (5).

The objective of the present study is to present information on AIDS patients in Puerto Rico, including a general sociodemographic profile, risk-related parameters, and characteristics of groups that are particularly vulnerable. The study also covers elements of the clinical spectrum of the disease.

For our study, we analyzed data from the Puerto Rico AIDS Surveillance Program and available studies about the HIV/AIDS epidemic in Puerto Rico. According to data from the Puerto Rico AIDS Surveillance Program (6), the largest number of AIDS cases on the island from January 1981 through February 1999 were in the health regions of San Juan (5,791 cases), Ponce (3,969), and Bayamón (3,841).

TABLE 1. Metropolitan areas of the United States of America with the highest incidence of AIDS cases (per 100,000 population) in the preceding 12 months

<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>New York, New York</td>
<td>131.0</td>
<td>117.2</td>
<td>101.2</td>
</tr>
<tr>
<td>Miami, Florida</td>
<td>115.5</td>
<td>86.6</td>
<td>76.4</td>
</tr>
<tr>
<td>Jersey City, New Jersey</td>
<td>114.5</td>
<td>109.8</td>
<td>72.2</td>
</tr>
<tr>
<td>San Francisco, California</td>
<td>108.5</td>
<td>83.1</td>
<td>69.7</td>
</tr>
<tr>
<td>Fort Lauderdale, Florida</td>
<td>86.4</td>
<td>78.4</td>
<td>56.2</td>
</tr>
<tr>
<td>West Palm Beach, Florida</td>
<td>85.3</td>
<td>73.0</td>
<td>53.9</td>
</tr>
<tr>
<td>San Juan, Puerto Rico</td>
<td>66.3</td>
<td>70.2</td>
<td>63.3</td>
</tr>
</tbody>
</table>


TABLE 2. AIDS exposure categories in Puerto Rico among adults and adolescents, January 1981–February 1999

<table>
<thead>
<tr>
<th>Exposure category</th>
<th>Males</th>
<th>Females</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>No.</td>
<td>%</td>
<td>No.</td>
<td>%</td>
</tr>
<tr>
<td>--------------------------------------------</td>
<td>-------</td>
<td>-------</td>
<td>-------</td>
</tr>
<tr>
<td>Injecting drug use</td>
<td>9,791</td>
<td>55</td>
<td>1,908</td>
</tr>
<tr>
<td>Men who have sex with men</td>
<td>3,797</td>
<td>21</td>
<td>0</td>
</tr>
<tr>
<td>Heterosexual contact</td>
<td>2,130</td>
<td>12</td>
<td>2,901</td>
</tr>
<tr>
<td>Men who have sex with men and inject drugs</td>
<td>1,690</td>
<td>10</td>
<td>0</td>
</tr>
<tr>
<td>Receipt of blood transfusion, blood components, or tissue</td>
<td>101</td>
<td>1</td>
<td>122</td>
</tr>
<tr>
<td>Risk not reported/Other</td>
<td>182</td>
<td>1</td>
<td>57</td>
</tr>
<tr>
<td>Total no. of cases</td>
<td>17,691</td>
<td>4,988</td>
<td>22,679</td>
</tr>
</tbody>
</table>

Source: Puerto Rico AIDS Surveillance Program. *This table does not include 410 pediatric cases, for whom exposure categories were different.

SOCIODEMOGRAPHIC SPECTRUM

Information from the Puerto Rico AIDS Surveillance Program showed that between January 1981 and February 1999, 45% of all the reported AIDS cases had occurred among those 30–39 years old, 24% among those 40–49 years old, 18% among those 20–29 years old, and 11% among those 50 and older (4). Among adolescents in Puerto Rico, from January 1981 through September 1996, for every adolescent female with AIDS, there were two adolescent males with the syndrome. That male-to-female ratio increased with age. For example, for those aged 30 to 39 years, the ratio was 3.3:1 (7).

BEHAVIORAL SPECTRUM

There are differences in HIV exposure categories between the continental United States and the island of Puerto Rico. Information from the Puerto Rico AIDS Surveillance Program (4) shows that in Puerto Rico the category of injecting drug users (IDUs) accounts for a slight majority of the cases (52%), followed by heterosexual contact (22%) and men who have sex with men (17%) (Table 2). In contrast,
in the continental United States the main exposure category among adults and adolescents continues to be the men having sex with men (48%), followed by IDUs (26%) and by heterosexual contact (10%) (5). Thus the risk profile of HIV/AIDS patients in Puerto Rico is similar to the one for Western Europe, North Africa, and the Middle East (2).

Differences between gender and exposure categories are also found between Puerto Rico and the mainland United States. In Puerto Rico the most frequent exposure category among males is injecting drug use (55%), followed by men having sex with men (21%). In contrast, in the continental United States the most frequent exposure category among males is men who have sex with men (57%), followed by IDU (22%). Among females, the first exposure category in Puerto Rico is heterosexual contact (58%), followed by IDU (38%). In the mainland United States the rankings are reversed, with 43% for IDU and 39% for heterosexual contact. In Puerto Rico, one study of HIV patients showed that a large proportion of them combined risk practices, in particular the use of injecting drugs and heterosexual activity with different partners (8).

Injecting drug use

Recent literature has shown the growing significance of injecting drug use in the HIV/AIDS epidemic in Puerto Rico, as well as among Puerto Ricans residing in the continental United States (9–13). Preliminary data from the Human Retrovirus Registry of the Universidad Central del Caribe in Bayamón, Puerto Rico, has demonstrated that injecting drug users are exposed to many more risk situations than are other risk groups involved in the epidemic (14). According to data from that registry, in Puerto Rico IDUs have a mean age of 35 years, and most are males. The IDUs have a spectrum of social vulnerabilities, including unemployment, a very low educational level, multiple heterosexual contacts, and work as prostitutes.

### TABLE 3. Clinical spectrum of AIDS in Puerto Rico, January 1981 through February 1999

<table>
<thead>
<tr>
<th>Most frequent disease categories</th>
<th>Number of cases</th>
<th>% of patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wasting syndrome</td>
<td>7 089</td>
<td>30.7</td>
</tr>
<tr>
<td>Esophageal, bronchial, and lung candidiasis</td>
<td>6 782</td>
<td>29.4</td>
</tr>
<tr>
<td>Pneumocystis carinii pneumonia</td>
<td>6 181</td>
<td>26.8</td>
</tr>
<tr>
<td>Toxoplasmosis of the brain</td>
<td>1 959</td>
<td>8.0</td>
</tr>
<tr>
<td>Pulmonary tuberculosis</td>
<td>874</td>
<td>3.8</td>
</tr>
<tr>
<td>Kaposi’s sarcoma</td>
<td>997</td>
<td>4.3</td>
</tr>
<tr>
<td>HIV encephalopathy</td>
<td>475</td>
<td>2.1</td>
</tr>
</tbody>
</table>

Source: Puerto Rico AIDS Surveillance Program.

*Including definitive and presumptive diagnoses, according to criteria of the U.S. Centers for Disease Control and Prevention. Diagnoses were not mutually exclusive, so the same individual could be reported more than once.

#### CLINICAL SPECTRUM

The natural history of the disease and the clinical expression of HIV ranges from asymptomatic to mild, moderate, and severe conditions indicative of AIDS. The interval between initial HIV infection and first symptoms and then AIDS varies from individual to individual. Likewise, the clinical expression of disease also varies according to individual characteristics and modes and rates of transmission.

The clinical spectrum of AIDS in Puerto Rico is presented in Table 3. This table used data from the Puerto Rico AIDS Surveillance Program for the period of January 1981 through February 1999 (4). The table includes only the most frequent disease categories, in accordance with the 1993 CDC criteria for AIDS diagnosis (15). The CDC clinical criteria included presumptive and definitive diagnoses for specific opportunistic infection and disease categories. The three main diagnoses in the Puerto Rican population were wasting syndrome; esophageal, bronchial, and lung candidiasis; and Pneumocystis carinii pneumonia (PCP).

Toxoplasmosis, pulmonary tuberculosis, and Kaposi’s sarcoma were also frequently reported. The clinical categories reported in Table 3 were not mutually exclusive, so that the same individual could be counted more than once. The 1993 CDC criteria for AIDS diagnosis also included the immunological criteria of CD4 cell counts of less than 200/µL and/or CD4 percentages of less than 14%; that was true for 44.7% of the Puerto Rican AIDS population (4).

In the Human Retrovirus Registry of the Universidad Central del Caribe in Bayamón, great emphasis has been placed on the study of the natural history of HIV and AIDS. From May 1992 to December 1997 a total of 1 959 HIV/AIDS patients were recruited for a prospective longitudinal study (8). Based on the 1993 CDC definition, at first encounter 960 of the subjects (49%) had sufficient clinical or immunological criteria to be considered AIDS cases. Among the 960 AIDS cases, 595 of them presented with clinical AIDS and 365 persons were classified as AIDS cases due to low CD4 counts alone. Among the 595 persons with clinical AIDS, the most common infections detected were (by definitive and presumptive CDC criteria): Pneumocystis carinii pneumonia, 229 cases (38.5%); nonoral candidiasis, 169 (28.4%); toxoplasmosis, 121 (20.3%); wasting syndrome, 98 (16.5%); and tuberculosis, 71 (11.9%). (Different clinical diagnoses could be present in the same individual, so the total number added to more than 595 and the total percent to more than 100%). The most prevalent non-AIDS opportunistic infections diagnosed among the HIV/AIDS patients were thrush (28.8%), bacterial pneumonia (16.8%), and skin infections (5.1%) (8).

An improved quality of life and extended patient survival can come from an early diagnosis of HIV, improved efficacy in the early treatment of the in-
fection, and prophylactic measures for some opportunistic infections. The time from HIV exposure to onset of disease is highly variable, as is the time from diagnosis of AIDS to death. Some HIV-infected individuals develop rapidly progressive disease, while others remain relatively symptom-free for as long as 10 years after seroconversion. Although numerous studies have examined the influence of transmission mode on disease progression, no definitive evidence has been given for faster or slower progression among members of one risk category or another.

The survival of Puerto Rican patients has been studied. One investigation used the Kaplan-Meier procedure in analyzing median survival time (7). The median survival time after diagnosis was 15.5 months for males (95% confidence interval: 14.8–16.3 mo) and 22.8 months for females (95% CI: 20.6–25.3 mo). Another study, with AIDS patients from the San Juan Standard Metropolitan Statistical Area, also showed a shorter survival time for males than for females, 16.4 months (95% CI: 15.3–17.4 mo) versus 22.7 months (95% CI: 19.9–26.9 mo) (16).

Another study compared the mortality of wasting syndrome versus Pneumocystis carinii pneumonia in AIDS patients in Puerto Rico (17). Controlling for gender, age, and CD4 levels, the investigation found a higher mortality among the PCP patients.

A study with HIV/AIDS patients in the Bayamón health region of Puerto Rico reported a median survival time of 17.5 months for men and women and suggested that the patients’ CD4 cell counts and ages were among the strongest determinants of survival after an AIDS diagnosis.3

Studies that describe the effects of newer antiretroviral therapies, with particular emphasis on protease inhibitors, are lacking for HIV-infected patients living in Puerto Rico. It may be logical to assume, as has been found elsewhere, that patient survival is extended.

SPECIAL GROUPS

In 1994 the estimated population of Puerto Rico was some 3.6 million, of whom 52.5% were female and 47.5% were male (18). The general mortality rate that year was 771 per 100 000 inhabitants, with AIDS as the fourth-leading cause of death. The AIDS mortality rate had risen from 39.6 per 100 000 in 1993 to 42.0 per 100 000 in 1994. For the year 1994, the mortality rate for males was higher (67.8 per 100 000) than for females (17.4 per 100 000). In 1994 AIDS was the first cause of death among persons between 30 and 39 years old and also the most frequent cause of death in males up to 49 years of age. The subsections below describe the toll that the HIV/AIDS epidemic has taken on some special groups.

Women

The number of HIV-infected women has increased rapidly in the United States and the rest of the world (19, 20). In the United States, the proportion of women among AIDS cases increased from 7% in 1985 to 18% in 1994 (19). Between 1991 and 1995 the number of women in the United States diagnosed with AIDS rose by 63%, a larger percentage increase than for any other group with the disease (21). A similar pattern has been noted in Puerto Rico, where the number of women with AIDS reported to the Puerto Rico AIDS Surveillance Program has been increasing steadily. Between January 1981 and February 1999, a total of 4,988 cases of AIDS among women were reported, comprising 21.6% of the island’s reported AIDS cases (4). That is nearly double the proportion of cases that women made up during the five years between 1981 and 1986, 11.4% (4).

Along with the increase in AIDS cases among women has come a rise in mortality. In the United States in 1994, AIDS was the third-leading cause of death among women between 25 and 44 years old; in 1996, AIDS-related mortality among women rose by 3%, while falling by 15% among men (20). In Puerto Rico in 1994, AIDS was the leading cause of death in women between the ages of 25 and 39, and it was the fifth most frequent cause of death among Puerto Rican females, with a mortality rate of 39.6 per 100 000 inhabitants (18). Furthermore, this toll is likely to continue rising, given the evidence that women are more at risk of HIV infection than are men when considered either on a per-contact or per-partnership basis (1).

The routes of HIV transmission, clinical manifestations, survival time, and impact on reproductive health differ for women and men (19, 20). While the impact HIV has on women is multifaceted (18, 22), few studies have been done on the natural history of the disease among Hispanic females (21, 22–24). One study of AIDS among Puerto Rican women in the San Juan Standard Metropolitan Statistical Area used data between 1981 through 1995 (16). The male-female ratio found was 3.8:1. The predominant mode of exposure among the women was heterosexual contact (48.7%), followed by intravenous drug use (43.3%). Over that time period an increasing number of women reported heterosexual contact as the mode of exposure to AIDS; between 1993 and 1995, that proportion rose from 45% to 64%.

Another study analyzed characteristics of 374 Puerto Rican women enrolled in the Human Retrovirus Registry of the Bayamón health region (4). The mean age of women in this sample was 35 years; 75% of them were in their childbearing years, and many were not involved with a regular partner. In addition, a high proportion of them were unemployed and had lim-

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Children and adolescents

Pediatric AIDS represents one of the saddest consequences of the epidemic. In Puerto Rico 410 pediatric AIDS cases were reported from January 1981 through February 1999. Of these children, 92% acquired the infection from their mothers, and the rest were infected by contaminated blood or blood products (4).

AIDS is one of the five leading causes of death in Puerto Rico among persons aged 15 years or younger, and it is the second-leading cause of death among those 2–9 years old (20). The majority of these children come from homes where one or both parents have a history of drug use or of risky sexual activities. These families have multiple needs and will often have to rely on external sources of assistance (31).

In most countries around the world the majority of new HIV infections occur in people between the ages of 15 and 24, often resulting from risky sexual behaviors. It has been suggested that adolescents are experiencing sexual relations very early in life and are frequently exposed to unprotected intercourse.

Older persons

In Puerto Rico an increasing number of HIV infections have been found among older individuals. Data from the Puerto Rico AIDS Surveillance Program indicate that from January 1981 through February 1999, 11% of the adults registered with AIDS were aged 50 years or older (4). In the United States this older population is usually not perceived as being at risk for HIV infection and is generally left out of targeted prevention programs (32). That is in spite of the fact that an estimated 10% of the persons in the United States aged 50 or older have at least one risk factor for HIV infection (33). Physicians also tend to underestimate the possibility of HIV infection in this population and may have inadequate knowledge concerning their risk factors (34–35). All this may be contributing to a pattern of late detection of the disease among these older individuals.

In order to better understand this age group in Puerto Rico, a study was carried out to assess the characteristics of a subgroup of 123 HIV patients 55 years and older within a larger cohort study in the Human Retrovirus Registry of the Bayamón health region.6 Preliminary results revealed that only 23 of these elderly persons (18.7%) were employed. Among the persons in this subgroup 67% of the females and 52% of the males reported having heterosexual sex with a risky partner, and 22% of the males said they had had sex with other males. In addition, 17% of the females and 43% of the males had used injectable drugs; fewer than 1% of them had received a blood product transfusion. Within the preceding year 21% had been diagnosed or treated for depression and 18% for anxiety. Other stress events affecting their lives included lost income or other significant economic problems (20%) and the death of a person close to them (17%).

SOCIAL IMPLICATIONS OF AIDS IN PUERTO RICO

The economic impact of HIV/AIDS can be enormous for the individual and the family, with such expenses as hospitalization, ambulatory and emergency care, and prescription medicines. The capacity to work is reduced and income is often lost. A recent study of Puerto Rican AIDS patients revealed that most of them were unemployed and that their incomes had fallen significantly (8). The social and psychological impact of AIDS is also enormous, with caregivers, family members, and loved ones having to meet AIDS patients’ needs.

Intense efforts are needed to better understand the biology of AIDS and the social, economic, and familial impacts of the epidemic in Puerto Rico. Research leadership in social and behavioral issues is required to translate the understanding of the dynamics

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and impact of the epidemic into coherent social and public health action. A key step in that direction would be for medical schools and for university behavioral and social science departments that serve minority students to include a comprehensive curriculum in AIDS-related issues.

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REFERENCES

Este estudio presenta información sobre los pacientes con sida en Puerto Rico, como su perfil sociodemográfico general, los factores de riesgo, las características de los grupos vulnerables y los elementos del espectro clínico de la enfermedad. Los datos analizados procedían del Programa de Vigilancia del sida en Puerto Rico y de estudios sobre la epidemia de VIH/sida en la isla. De enero de 1981 a febrero de 1999 se habían comunicado a dicho programa 23,089 casos de sida. La epidemia de VIH/sida ha afectado sobre todo a varones y hembras de 30 a 49 años, aunque también se han descrito casos en otros grupos de edad. La proporción acumulada de pacientes del sexo femenino con sida ha aumentado enormemente, de 11,4% en el período 1981–1986 a 21,6% en el período 1981–1999. En Puerto Rico, el grupo de adictos a drogas por vía parenteral representa la mayoría de los casos de sida (52%), seguido de los heterosexuales (22%) y de los varones homosexuales (17%). Los tres diagnósticos de sida establecidos con mayor frecuencia en la isla son el síndrome consuntivo (30,7%), la candidiasis esofágica, bronquial y pulmonar (29,4%) y la neumonía por Pneumocystis carinii (26,8%). De acuerdo con las estadísticas demográficas de 1994 en Puerto Rico, el sida constituyó la cuarta causa de muerte. La tasa global de mortalidad por sida fue de 42,0 por 100 000 habitantes, siendo mucho más elevada en los hombres (67,8) que en las mujeres (17,4). El sida es la primera causa de muerte en personas de 30 a 39 años de edad. Serán necesarios grandes esfuerzos para comprender mejor la epidemia en Puerto Rico, su biología, su impacto social y familiar y sus costes financieros.

RESUMEN

El estado de la epidemia de VIH/SIDA en Puerto Rico

Este estudio presenta información sobre los pacientes con sida en Puerto Rico, como su perfil sociodemográfico general, los factores de riesgo, las características de los grupos vulnerables y los elementos del espectro clínico de la enfermedad. Los datos analizados procedían del Programa de Vigilancia del sida en Puerto Rico y de estudios sobre la epidemia de VIH/sida en la isla. De enero de 1981 a febrero de 1999 se habían comunicado a dicho programa 23,089 casos de sida. La epidemia de VIH/sida ha afectado sobre todo a varones y hembras de 30 a 49 años, aunque también se han descrito casos en otros grupos de edad. La proporción acumulada de pacientes del sexo femenino con sida ha aumentado enormemente, de 11,4% en el período 1981–1986 a 21,6% en el período 1981–1999. En Puerto Rico, el grupo de adictos a drogas por vía parenteral representa la mayoría de los casos de sida (52%), seguido de los heterosexuales (22%) y de los varones homosexuales (17%). Los tres diagnósticos de sida establecidos con mayor frecuencia en la isla son el síndrome consuntivo (30,7%), la candidiasis esofágica, bronquial y pulmonar (29,4%) y la neumonía por Pneumocystis carinii (26,8%). De acuerdo con las estadísticas demográficas de 1994 en Puerto Rico, el sida constituyó la cuarta causa de muerte. La tasa global de mortalidad por sida fue de 42,0 por 100 000 habitantes, siendo mucho más elevada en los hombres (67,8) que en las mujeres (17,4). El sida es la primera causa de muerte en personas de 30 a 39 años de edad. Serán necesarios grandes esfuerzos para comprender mejor la epidemia en Puerto Rico, su biología, su impacto social y familiar y sus costes financieros.