Health and Food and Nutritional Security Policies: challenges in controlling childhood obesity

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> **Abstract** The study analyzed the initiatives of prevention and control of childhood obesity, especially those of Adequate and Healthy Food Promotion (PAAS) which have been part of the policies of the Brazilian federal government for the last 15 years. All documents that feature PAAS initiatives in the food and nutrition security, as well as public health policy fields, were evaluated according to the following criteria: (1) the approach to PAAS initiatives; (2) the aspects of obesitythat they intend to affect and (3) potential interest disputes. The main PAAS initiatives identified are intended to encourage: food and nutrition education; agroecological production systems; family agriculture; food accessibility; healthy environments and regulatory measures. These initiatives alter different aspects of childhood obesityand highlight different conceptions about the problem and affect different interests. We highlight the disputes between the interests of the processed foods and agribusiness corporations, and the governmental and corporate sectors guided by PAAS objectives. Measures aimed at regulating purchases and publicizing unhealthy products for children, are those that best express the interests involved.

> **Key words** Infant obesity, Food and nutritional safety, Nutritional policies

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Introduction

Obesity is being considered a priority for Brazilian government policy ever since the first National Food and Nutrition Policy (PNAN)¹ was approved when it emerged as a public health issue because of its rising prevalence. In this scenario, childhood obesity becomes particularly relevant because of its increasing magnitude in the national and international context^{2,3}.

In Brazil, overweight and obesity have been recorded starting at the age of five, in all income groups and regions, and are more prevalent in the urban areas than in the rural areas⁴. Childhood is a particularly worrisome phase because, in addition to the diseases associated with obesity, the risk increases in adulthood, generating economic and health consequences for the individual and for society^{2,3}. In addition, stigma and depression can impair the child's development, especially in school and leisure activities⁵.

There is a consensus that obesity is affected by biological, environmental, socioeconomic, psychosocial and cultural factors. However, its rise has been predominantly attributed to an environment that encourages excessive consumption of processed and ultra-processed foods and discourages physical activity^{6,7}. Studies show that the main causes of obesity in children are the consumption of nutrient-poor products laced with high sugar and fat content, sugary drinks and insufficient physical activity^{2,3}.

The consumption of processed and ultra-processed foods is increasing in Latin America⁸, a trend clearly shown in the metropolitan areas of Brazil since the 1980s and throughout the country from the year 2000⁹, thereby contributing to a significant increase in overweight and obesity in all age groups¹⁰. Almost a third of children under two years of age already drink soda and artificial juices containing sugar, while over 60% eat biscuits and cakes¹¹. This scenario requires initiatives to address these factors and to target children mainly since feeding habits acquired in childhood are generally carried over to their adult lives¹².

Therefore, food environment and exposure to childhood advertising are important causes of childhood obesity, and the concept of "obesogenic society" was coined to indicate how "environmental" factors related to food production, marketing and consumption are central to the problem⁷. The Brazilian government has addressed this scenario by developing initiatives to prevent and control obesity, including the Pro-

motion of Adequate and Healthy Food (PAAS), which is integrated into the National Policies for Health Promotion (PNPS)¹³, Food and Nutrition Security (PNSAN)¹⁴ and the PNAN¹⁵.

Studies show how these policies utilize significant public resources and highlight the special interests involved in its appropriation. Government policies may affect the special interests of certain institutions whose own policies are in some way responsible for the current epidemiological and nutritional outlooks, such as the processed and ultra-processed food industry^{16,17}. In reflecting upon these challenges, the present study analyzed initiatives in the prevention and control of childhood obesity, especially those of the PAAS, which, given the facts above, are part of Brazilian federal government policies. The study identified how these policies could affect special interests that are stakeholders in the processes of production, sales, and consumption of food products.

Methods

The study was based on the framework of public policies analysis¹⁸, presupposing them as socially constructed practices, processes and discourses that involve governments and civil society and operate as mediation dynamics in the transformation of a given reality¹⁹. It is also accepted that formal discussion of these policies is a result of society's involvement since specific measures that are implemented about questions considered in political processes are the essence of political activity. Government documents are molded by their own institutional, social and political contexts and highlight the ideas and interests being debated. Therefore, an analysis of the PAAS initiatives can contribute to identifying how the official discourse provides clarity about the theme and how this clearly points to current political struggles^{20,21}.

Based on these assumptions, the study analyzed the PNAN, the PNPS, and the PNSAN, since these are the policies that set the national guidelines within this scope. The study opted to present wide-ranging measures, destined for the whole population, that could even if indirectly, limit the growth of childhood obesity. All documents and publications that present PAAS initiatives related to these policies in the last 15 years were analyzed, which is when concerns on obesity became a part of the government agenda¹. The analysis also considered other policies that

interact with the PAAS objectives (Chart 1). The documents were obtained by searching the websites of the respective institutions and the Virtual Health Library of the Ministry of Health (MS). Factors that were considered in the analytical strategy are: (1) the approach to PAAS initiatives; (2) the obesity constraints that they intend to affect and (3) potential interest disputes. The extent to which policies may affect the behavior of the different segments involved was considered.

Results

Within the framework of Health Policies

The organization of the Nutrition Assistance in the Unified Health System (SUS) is a central guideline of the PNAN, which states that care related to food and nutrition (health promotion, prevention, diagnosis and treatment of diseases) should be a part of integrated care in the Health Care Network (RAS). In this context, we highlight the workflows and directives for the Treatment of Overweightand Obesity²², which establishes the initiatives that must be implemented across the RAS, including those of PAAS, planned according to the knowledge of the population's epidemiological and nutritional scenario. To this end, Food and Nutrition Surveillance (VAN) plays a significant role in the monitoring and analysis of nutritional problems and supporting the planning of nutritional care in the SUS²³.

PAAS is also one of the PNAN guidelines that, according to the terms of the policy itself, is based on health promotion, support, protection and promotion initiatives planned in an integrated manner within the SAN. These initiatives include refocusing services, building health-promoting environments, Food and Nutritional Education (EAN) and, finally, the control and regulation of food products.

A set of initiatives is related to the organization of health services and aims to increase access and strengthen health promotion for the entire population, including children. The National Policy on Basic Needs²⁴ provides for the reorganization of services with a view to increasing the equity and quality of health care, thus creating environments that favor prevention, promotion, and integral health care²⁴. In this perspective, the following stand out: the Breastfeeding and Feeding Brazil Strategy²⁵, which aims to promote breastfeeding and the complimentary introduction of food in an adequate and healthy way, and

Chart 1. Health, food and nutritional security programs and initiatives to address childhood obesity.

Health Initiatives and Programs
Brazil Breastfeeding and Feed Strategy
Overweightand Obesity Care-Line
Health at School Program
Food Guides
Regulation of Food Marketing for Pregnant Women and
Newborn Children
Nutritional Labeling
Healthy Eating at School Promotion
Agreements for the Reformulation of Nutritional
Composition (The List of Ingredients)
Food and Nutritional Security Programs and Initiatives
National School Feeding Program
Food Acquisition Program
"Bolsa Familia" Program
Inter-Sectoral Strategy for the Prevention and Control of
Obesity
National Pact for Healthy Eating

the Health at School Program (PSE). The promotion and care of the student's health involve both the PNAN and the PNPS, while the PSE proposes to coordinate in conjunction with the school basic health care and enabling initiatives of the PAAS and the monitoring of the nutritional status²⁶

Also, in the context of the construction of institutional health promoters, PAAS guidelines were formulated by the Ministries of Health (MS) and Education for public and private schools²⁷. In addition, EAN and regulatory initiatives are highlighted.

EAN initiatives coordinate all analyzed policies and include the production of educational tools and materials that foster healthier food choices as well as educational processes developed in education and health networks and other public spaces. Aiming at valuing and qualifying these initiatives, the federal government has published the EAN²⁸ Framework for Public Policies.

The food guides destined for children under two years of age²⁹ and the Brazilian population³⁰ provides principles for healthy eating, among them the appreciation for local food culture. Within the context of complementarity and the discussion among the materials produced, the book "Brazilian Regional Foods" disseminates the variety of fruits, vegetables, and legumes,

highlights cultural diversity and enhances the country's indigenous food³¹. Regarding the access to and the classification of information, the food guide breaks new ground by basing itself in a classification of food products that shows the correlation between the growing consumption of processed and ultra-processed foods, and the resulting obesity. In addition, it addresses food products from the perspective of Food and Nutrition Security (SAN).

Regulatory initiatives create guidelines and/ or limits for the business sectors and strive to protect the population against abusive practices, especially the ones that originate in the corporate sector. As far as regulating and controlling food products, PNAN provides for nutritional labels, the regulation of advertising, agreements with industry for the reformulation of processed and ultra-processed food products and specific norms for regulating commercial publicity and labels for foods and other products destined for pregnant women and newborn children. The regulation methods identified differentiate between those that impose compliance with legal provisions under penalty of fine, and those that are characterized by establishing guidelines and agreements.

The regulation of advertising and food marketing, especially for children, has been the subject of government policies since 2006 and characterized by acrimonious discussions with the corporate sector¹⁶, with significant progress being made only in the protection of infants and young children³². Nutritional labeling requirements³³ have also been regulated to guarantee consumer access to product information and to suppress label advertisements. The country has seen advances made in this type of regulation, but there are still challenges regarding the quality of information and its information potential³⁴.

Since 2007, voluntary agreements have been established between the Health Ministry and the Brazilian Association of Food Industries to improve the ingredients of industrialized products, especially those preferred by children and adolescents. There has been a gradual reduction of free sugar levels, sodium, and trans fats³⁵. However, nutritional classification may represent a new consumer market, therefore it is not in conflict with the interests of the corporate food sectors. But it should be noted that the agreements to reduce sugar levels have not yet been reached.

Under the National Policy on Food and Nutrition Security

The PNSAN was formulated with broad social participation and it is characterized by the multi-sector approach to the processes of production, access, supply, and food consumption. The intent is to create various programs and initiatives from different sectors, both government and private, to guarantee access to the Human Right to Adequate Nutrition (DHAA). Therefore, it may affect the interests of sectors whose practices are not guided by the DHAA, with different levels of interest disputes.

Considering food production, the rural development policies that define the institutional market for family agriculture through the Food Acquisition Program³⁶ (PAA) and the National School Feeding Program³⁷ (PNAE) have been strategic for the production and supply of healthy food, especially because of the requirement to use at least 30% of the resources of the PNAE to purchase food from family agriculture³⁷. Changing the criteria for public food procurement can directly affect the interests of the corporate sectors that traditionally supply to government programs. In addition, initiatives aimed at the transition to agroecological or organic food production systems³⁸ conflict with the interests of largescale monoculture crop exports. These interests drive transnational corporations to sell seeds, agrochemicals, raw materials, and equipment, even if these practices are not guided by the DHAA¹⁷. As far as food access is concerned, the following stand out: popular restaurants; food banks; community gardens and kitchens; cisterns; the distribution of food; the Worker's Feeding Program and income transfer programs, such as Bolsa Família (PBF), which increases purchasing power and therefore, accessibility. The PBF also reinforces the use of health and education services, which can contribute to the PAAS, as EAN initiatives progress in these networks³⁸.

In a differentiated approach to PAAS, the Inter-Sectoral Strategy for the Prevention and Control of Obesity³⁹ (EIPCO) and the National Pact for Healthy Eating⁴⁰ (PNAS) prioritize obesity from an inter-sectoral perspective. EIPCO has, as one of its main objectives, prioritizing basic health care aiming at providing full attention to the health of the person who is overweight and obese. The pact was created to increase the

supply, availability, and consumption of healthy foods and to combat overweight, obesity, and diseases caused by the poor diet of the Brazilian population. Both seek to coordinate initiatives of different governmental sectors, with the purpose of preventing and controlling obesity through the convergence of goals and initiatives of the PAAS and they innovate by correlating health and nutrition to the current food system.

Discussion

The main PAAS initiatives identified are intended to encourage: food and nutrition education; agroecological production systems; family agriculture; food accessibility; healthy environments and regulatory measures. Therefore, they provide for the encouragement, support, and protection of the population in enabling the adoption of healthy food practices. Such initiatives potentially affect childhood obesity, have different conceptions about how to use them and, consequently, affect different interests in dispute.

Health sector policies encompass initiatives that aim to alter factors related to obesity, among them: its recognition as a health issue that demands specific actions through the diagnosis and monitoring of the nutritional status that provide visibility into the problem, present in VAN23 and PSE²⁶; the expansion of access to information and qualification of socially disseminated messages about food, by providing information that encourages the adoption of healthy food practices and the regulation of advertising practices of the corporate sectors in order to curb messages that stimulate the consumption of processed and ultra-processed products; the creation of healthy food environments in schools and workplaces; and voluntary agreements with the food industry to change the ingredients of their products.

The factors related to obesity affected by the PNSAN are the processes of production, sale, and consumption of foods that have been carefully considered. Therefore, we highlight the reorganization of the food production system, mainly through the promotion of agroecological production and FA, thus guaranteeing the purchasing of these products by the government and coordinated with the expansion of school access to fresh foods, produced locally. It should be noted that the mobilization of different government sectors and government entities regarding the fight against obesity and PAAS was carried out by the shared management and social participation

of the SAN policy, where EIPCO was created and where the PNAE and the PAA were reformulated.

The strategies with the greatest potential to affect institutional environments, such as health services and schools, are those that strengthen the capacity of these spaces to deal with prevention, care, and health promotion activities at different stages of the life cycle. However, school compliance to the proposed guidelines may, on occasion, be resisted by schoolchildren, families, and members of the school community as regulating food availability could be infringing on the individual's freedom to choose his own food products⁴¹.

Instituting the EAN Reference Framework, which was achieved in coordination between the health sector and SAN, although indicating the need to plan EAN actions from production to food consumption²⁸, does not foresee initiatives that directly affect the interests of the productive sectors. However, the food guide for the Brazilian population, which is part of the PNAN, clashes with industry interests because it: proposes a classification of food products according to their degree of processing; underscores that processed and ultra-processed foods have a higher energy density, higher free sugar content, and lower fiber content than fresh foods; and recommends limiting the consumption of processed foods and avoiding the consumption of ultra-processed foods. It should be noted that the obstacles to the adoption of the recommendations contained in the guide are a part of the document itself. They stress the need for initiatives and individual changes as well as of public policies and regulatory initiatives of the State that make the environment more conducive to the adoption of healthier choices.

Regulatory initiatives are the ones that most illustrate political tensions and the interests in dispute. As far as regulation of corporate sector practices is concerned, the only law in force is law32, that protects breastfeeding and regulates the sale of food products to pregnant women and newborn children. Important challenges remain regarding the regulation of food advertising as a crucial measure in the field of health protection and of the SAN, in several public policies 14,15,42 and in the Action Plan for the prevention of obesity in children and adolescents of the OPAS8. Notwithstanding these recommendations, the suspension of the guideline shows a tension between the private sector and government and highlights the political influence of industry in Brazil, while illustrating the complexity of the

regulatory field insofar as it deals with social issues and practices that involve interests of different institutions^{16,17}.

Considering also regulatory issues, it is important to note that agreements to reduce the levels of sodium, sugar and trans fats, create less conflict with the private sector, but are ineffective in solving problems related to an unhealthy diet. A study on the process of monitoring sodium levels revealed weaknesses which could compromise this strategy⁴³, such as the lack of standardization of food categories and the periodicity and comprehensiveness of the analyses. Even if such agreements result in products with a lower content of certain ingredients, it will still be considered an ultra-processed food.

Such agreements may not be in accordance with other PNAN initiatives since the Food Guide itself advocates that processed and ultra-processed foods be avoided, highlighting the interests in dispute. Although the agreements are intended to modify the products and consequently its food consumption profile, the idea that the product has been "improved" from the nutritional point of view can also stimulate its consumption. This discrepancy among the proposals for the prevention and control of obesity can encourage, at the very least, the dissemination of conflicting information to a population that needs to be well informed about the health risks caused by the consumption of these products. In addition to these issues, the participation in the government decision-making process by private industry, whose policies run counter to the principles and objectives of public policy, may delay, water down or even block the reaching of the foreseen goals¹⁷.

As stated, initiatives intended to affect the processes of production, sale, access, and consumption of food in an integrated way are within the scope of the PNSAN, where new approaches of prevention and control of obesity were created. From this perspective, the nutritional system is considered as a structuring factor of the conditions that favor excessive weight gain. The more effective initiatives for prevention and control of childhood obesity comes from inter-sectoral strategies because they combine initiatives that bring together health, education, school nutrition, and agriculture. Some initiatives foreseen in the PNSAN already integrate Health Ministry policies, which suggest that there are important connection interfaces among policies analyzed here.

EIPCO and PNAS, because they are based on SAN principles, can affect the interests of agri-

cultural and industrial sectors that sell seeds, materials, equipment, and pesticides as well as processed and ultra-processed foods. In this sense, regulatory initiatives that focus on these products, in addition to those aimed at advertising processed and ultra-processed food products, indicate the high level of interest disputes that also involve government sectors. The regulatory role of the State can be affected by different pressure mechanisms that are exerted by influential corporations in this scenario¹⁷.

Within the scope of the PNSAN, the PNAE and PAA are the programs that are directly related to the production, supply, and consumption of healthier foods in schools, which is a strategic area for childhood PAAS. The legislation that underscores the PNAE, in conjunction with the SAN, provided for the approximation between the food production coming from family agriculture and access to PAAS mediated by a government procurement policy that extends to other sectors.

By recommending that meals be prepared using fresh foods, PNAE focuses on increasing the value of healthy eating, from a nutritional as well as a social and cultural standpoint. Therefore, it is a program that affects different elements of childhood obesity by offering healthy food to schoolchildren and promoting EAN initiatives also provided for in its legislation.

Such initiatives are crucial in stimulating children and adolescents to change their consumption behaviors, but if the food available is not consistent with the information disseminated to the students it will hardly have any effect on their eating practices since the messaging will be contradictory. The food products that children can access have a powerful impact on the construction of values, an issue that is even more relevant when considering that children are exposed to other environments outside the school that stimulate unhealthy practices⁴⁴.

Therefore, in addition to encouraging healthy eating through the dissemination and qualification of information, the policies analyzed here provide for initiatives that focus on other factors of childhood obesity that are equally important, such as access, availability of healthy food, and support towards the adoption of healthy practices. Despite the expansion of access to healthy food for schoolchildren, by the PNAE and the PAAS guidelines in effect since 2006, the challenge remains to regulate public and private schools, canteens and their environments. Most of them do not encourage healthy eating because they are commercial establishments with no commitment

to PAAS⁴⁴, offering processed and ultra-processed products and, consequently, stimulating their consumption, as a recent study that analyzed the school food environment has shown⁴⁵.

However, the adoption of measures that can transform school canteens into places that guarantee meals and healthy food choices has already been implemented by several states and municipalities in Brazil, with different degrees of success⁴⁶⁻⁴⁸. The regulations prohibit the sale of sweets, ultra-processed foods and beverages, fried foods and the advertising of unhealthy foods, and requires that fruits be made available⁴⁶⁻⁴⁸. In addition to regulating food supply and availability, restricting the advertising of processed and ultra-processed foods in schools is a challenge. Such actions directly affect the interests in dispute, especially those involving the private sector. Canteens, vendors, and the food industry profit from the sale of these products.

Regarding the factors related to household access to food, which directly affect the profile of children's food practices, conditional transfer programs such as the PBF can increase access to healthier foods for low-income individuals and contribute to improving conditions that today favor food and nutritional insecurity. A systematic review found a positive association between the participation of families in these programs in Brazil and the improvement of food and nutrition⁴⁹. However, although PBF promotes food accessibility, it does not necessarily imply an improvement in the nutritional quality of food⁵⁰, since greater purchasing power is not the only factor that favors healthy practices. Other conditions also interfere with the decision-making process around food, such as the supply, availability, convenience of preparation, time spent, the relative satiety of the food, the symbolic, cultural and psychosocial aspects of eating practices that affect different segments of the population independent of income and constitute the same obese inducing environment⁵¹. Therefore, the combination of different factors by means of inter-sectoral policies is crucial in making healthy eating practices universally available.

PBF conditions related to health care and school attendance can mean greater exposure to other public policies that offer PAAS and broaden access to health care and health promotion activities that integrate programs such as the PNAE and the PSE. To this end, it is essential that the conditions be considered as a mechanism for inducing the supply of services by governments, which enhances rights and not as a mechanism

for punishing beneficiaries. It is not a matter of setting requirements towards beneficiaries to ensure that established rights are guaranteed but to structure governments so that they comply with their legally established duties.

In summary, policies¹³⁻¹⁵ that increase the supply and access to PAAS, promote access to information through educational actions and regulate the environment or market activities based on legal instruments. However, some dilemmas about the regulatory role of the State can impede political processes and make it difficult or even impossible to do certain activities^{16,17}. The regulatory state mode in Brazil, strengthened since the 1990s, has regulatory mechanisms with varying degrees of flexibility, especially in issues involving conflicts of interest, and is shaping different State intervention standards in public policies⁵².

The regulation seeks to define boundaries between society and the state and between government and the private sector to guarantee constitutional rights and maximize the public good. The regulation of health activities constitutes one of the essential functions of public health and aims to guarantee the quality of goods and services and protect the health of the population⁵³. It does, however, mean that there is a high level of uncertainty and complexity considering the values and potential disputes of interests among those involved with public policies⁵⁴.

Final considerations

The set of mapped PAAS initiatives demonstrates the efforts undertaken by the Brazilian government in the construction of policies that converge around battling obesity, with the potential to affect several aspects of its conditioning factors. However, for their effective implementation, these measures require different degrees of political resources, as they also affect the interests in dispute in different ways.

The policies that have been analyzed have elements that trigger the political process and highlight disputes between sector interests - governmental and corporate - guided by the objectives of PAAS and private sectors that aim to increase the sale of raw materials and processed and ultra-processed foods. Therefore, such initiatives may cause tensions and affect the policy prescriptions themselves.

Governments are not homogeneous, and the evidence that some initiatives may lead to opposing outcomes demonstrate the interests in dis-

pute. Actions that advocate for the consumption of ultra-processed foods that should be avoided contrast with the difficulties of enforcing regulatory actions, especially those that bear on the advertising of these foods. Improving the nutritional composition of ultra-processed foods through agreements with industry may in the short term contribute to a reduction in the intake of fats, sugars, and salt. But, in the long term, they may stimulate their consumption.

There is potential in the inter-sectoral approach towards obesity in the PNSAN and in the initiatives adopted in school areas, especially in the public network through the PNAE. These

are strategic for the children's group given the school's responsibilities for the formation of habits and values. However, family agriculture-procurement challenges may indicate disputes of interest among school food suppliers, as well as possible difficulties for family farmers in marketing their products to schools and for them to become interested in this market, when compared to other private markets

Challenges remain for the implementation of regulatory strategies that are critical in promoting environments that stimulate and enable healthier food choices considering food guide recommendations.

Collaborations

P Henriques, G O'Dwyer and L Burlandy participated in the design, interpretation of data, writing, critical review of the article and approval of the version to be published; PC Dias and RMS Barbosa participated in the data interpretation, writing and critical review of the article. L Burlandy participated in the critical review of the article and approval of the version to be published.

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