


# Factors associated with need for dental treatment: a cross-sectional study at Fluminense Federal University, Rio de Janeiro, Brazil, 2013\*

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## Abstract

**Objective:** to analyze factors associated with the need for dental treatment in adults due to dental caries and tooth loss. **Methods:** this was a cross-sectional study with a random sample of adults attending Fluminense Federal University School of Dentistry outpatient clinics, in Niterói, RJ, between July and December 2013; we used data collected from clinical records; a questionnaire was administered in interviews; logistic regression analysis was performed to obtain crude and adjusted odds ratios (OR). **Results:** 212 individuals participated, 71.7% were women; the prevalence of need for dental treatment was 56.1% (95%CI 49.4;62.7); need for treatment was lower in individuals with higher family income (OR=0.53 – 95%CI 0.28;0.98), and higher in women (OR=2.28 – 95%CI 1.21;4.32). **Conclusion:** prevalence of need for treatment in adults was high, especially in women and people with lower family income.

**Keywords:** Dental Caries; Socioeconomic Factors; Cross-Sectional Studies; Tooth Loss; Dental Care.

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## Introduction

Dental caries and tooth loss are among the most prevalent oral diseases in Latin America.<sup>1</sup> Tooth loss occurs mainly in the population with lower income and less schooling, whereby most tooth extractions are attributed to caries and, on a smaller scale, to periodontopathies. In addition to poor living conditions, the main risk factors for these diseases are related to unhealthy lifestyles, such as bad eating habits, malnutrition and lack of oral hygiene, continuous use of tobacco and alcohol, as well as the unavailability or limited accessibility of oral health services.<sup>2,3</sup>

Oral health has a strong relationship with socioeconomic and demographic factors, especially in the case of individuals in lower income brackets.<sup>4,5</sup> The association between social determinants and oral health influences access to and utilization of health care services.<sup>6,7</sup>

The burden of oral disease is particularly high for disadvantaged and poor population groups, both in developed and in developing countries.<sup>1</sup> Caries is the oral disease that most affects children; globally, it is considered one of the most important diseases in contemporary society, affecting 1.76 billion deciduous teeth among 1.93 billion children. In 2016, dental caries was among the most prevalent top ten causes among adults worldwide.<sup>8</sup> The substantial costs involved in its treatment, added to the existence and availability of preventive methods, confirm the importance of dental caries as a public health problem.<sup>9</sup> In addition, caries integrates the list of diseases that are closely dependent on social determinants, such as sedentary lifestyle, smoking, alcoholism and stress.<sup>8</sup>

*Oral health has a strong relationship with socioeconomic and demographic factors, especially in the case of individuals in lower income brackets.*

In Brazil, despite the relevance of actions to prevent and control dental caries and despite oral health care actions provided by the Brazilian National Health System (SUS), shortcomings can be seen in access to and comprehensiveness of dental treatment.<sup>10</sup>

The objective of this study was to analyze prevalence and factors associated with the need for dental

treatment for tooth decay and tooth loss in adults attending Fluminense Federal University School of Dentistry graduate clinics (FOUFF), in the period from July to December 2013.

## Methods

A cross-sectional study was conducted with the purpose of analyzing the need for treatment for dental caries and tooth loss in the reference population, corresponding to approximately 3,000 adult patients aged 18 years old or over registered with ten FOUFF undergraduate course clinics, between July and December 2013. The clinics involved in the study were: Dentistry, Occlusion, Integrated Clinical Practice, Total Prosthesis, Oral Diagnosis, Removable Prosthesis, Endodontics, Periodontics, Fixed Prosthesis and Surgery.

The definition of the needs for treatment of dental caries and tooth loss followed the classification proposed by the most recent national survey on oral health, conducted in 2010 (SB 2010),<sup>11</sup> which includes:

- a) 'restorative treatment on one or more surfaces';
- b) 'tooth extraction';
- c) 'dental crown';
- d) 'aesthetic facet'; and
- e) 'pulp treatment and restoration'.

Treatment needed for reconstitution using prostheses was classified as:

- a) needs a prosthesis, fixed or removable, to replace one element;
- b) needs a prosthesis, fixed or removable, to replace more than one element;
- c) needs a combination of prostheses, fixed and/or removable, to replace one and/or more than one element; and
- d) needs total dental prosthesis.

Eligible patients were those who registered of their own accord and were attending FOUFF clinics, aged 18 or over, from July to December 2013. To be treated at one of these clinics, SUS users must register by phone or e-mail addressed to the FOUFF Secretaries' office, attend a welcome lecture and undergo diagnostic screening, and join the waiting list to be seen. Individuals who registered during the first half of 2013 and those still in treatment were selected by simple random sampling of dental records, using a table of numbers taken randomly from the list of patients registered as at 30 June 2013.

The data were collected from the dental records already used in clinics and through interviews with the patients themselves, carried out between July and December 2013. All dental records were standardized and the information generated was the responsibility of the dental professional who treated the patients. This information was validated by the university teacher in charge of the sector. The dental records contained data on patients' age, sex, address, dental elements examined, diagnosis and respective treatment. The questionnaire was based on the form used by the Brazilian Ministry of Health in a national oral health survey.<sup>12</sup>

The dependent variable was the need for treatment of dental caries or tooth loss, dichotomized as follows: zero (no need) or 1 (any category of classification for treatment of dental caries or tooth loss).

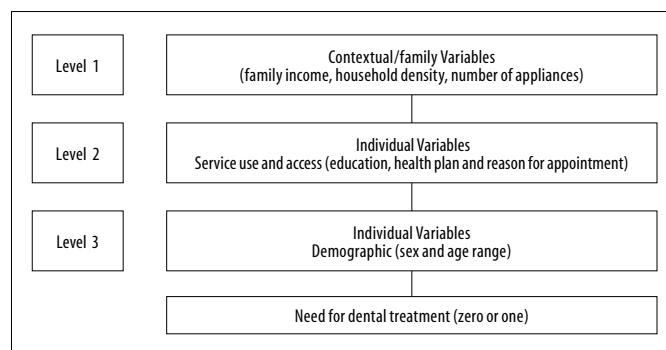
The independent variables were: family income ( $\leq 2$  minimum wages;  $> 2$  minimum wages), household density ( $\leq 3$  persons;  $\geq 4$  persons), number of domestic appliances ( $\leq 6$  appliances;  $> 6$  appliances), healthcare plan (private; SUS only), reason for appointment (free of charge; specialized treatment), education level ( $\leq 11$  years of study;  $> 11$  years of study), sex (male; female) and age group (in years: 18-40; 41-59; 60 and over).

A pilot study was conducted to test the data collection instrument with a sample of 20 individuals who were not included in the main study, although data collection during the field work itself was done by the same interviewer used in the pilot study. The purpose of the pilot study was to verify the applicability of the questionnaire: to test whether interviewees understood the questions and to assess average time spent on answering it.

The Epi Info Statcalc application was used to calculate the sample size.<sup>13</sup> The exposure hypotheses used were that

individuals with 'lower family income' were considered to be exposed, while individuals with 'higher family income' were considered to be non-exposed. The proportion of non-exposed cases (9.1) and exposed cases (24.5) was established using the values found in a similar study carried out at the same institution.<sup>14</sup> The sample size calculated for each group was 104, based on a 5% significance level and test power of 80%. We therefore planned to examine 208 individuals plus a further 10.

The data were analyzed using the Statistic Package for Social Science (SPSS for Windows, version 21.0). Associations between the independent variables and the dichotomic 'need for treatment' outcome were verified using the chi-square test. The independent variables were clustered according to a three-level hierarchical model<sup>15</sup> and were analyzed sequentially, starting with the most distal layer (contextual factors) and ending with the proximal layer (service access/use and demographic factors), according to the determinants involved on each level (Figure 1). For each stage of the hierarchical structure, logistic regression analysis was performed using a backward stepwise procedure, selecting the best predictors with a significance level of  $p < 0.25$  in the bivariate analysis. When defining the final model, the variables with greater statistical significance on each horizontal level were added in ascending order. Thus, the bivariate analysis variables were included on their hierarchical level in the multivariate models. Only 'income' and 'sex' were significant in their respective blocks, whereby the covariate adjusted odds ratios (OR) were obtained taking the hierarchical levels (individual and contextual) into consideration. Second-order iterations were tested at each stage of the analysis. The significance level established in the analysis of the final model was 5%.



**Figure 1 – Theoretical structure used in the selection of the predictor variables presented in the study ( $p < 0.25$ )**

The study project was approved by the FOUFF Research Ethics Committee: Report No. 146803/2012. The participants signed a Free and Informed Consent form before answering the questionnaire.

## Results

212 individuals undergoing treatment in FOUFF graduation clinics were included in the study, 71.7% of whom were women. Most of the participants were aged either between 18 and 40 years (46.2%) or between 41 and 49 years (42.5%). Tooth loss prevalence was 28.8% (95%CI 23.0;25.1); while dental caries prevalence was 71.2% (95%CI 64.9;77.0); and prevalence of need for dental treatment was 56.1% (95%CI 49.4;62.7).

Table 1 shows the distribution of individual and contextual characteristics according to prevalence of need for dental treatment. 43.3% of males had some need for treatment, while this percentage was 61.2% ( $p=0.02$ ) among females. In the analysis of the remaining independent variables, no significant differences were found in the comparison of the groups.

In the multivariate analysis, the 'household density', 'number of appliances', 'healthcare plan', 'reason for appointment' and 'education level' variables were removed because they had a significance level of  $p \geq 0.25$ . Among the contextual and access to services variables, only 'family income' showed significant association ( $p<0.05$ ) when model 1 was adjusted (Table 2). Following adjustment of the final multivariate logistic regression model, the 'family income' and 'sex' variables were included since the  $p$ -value was  $<0.05$ . The level 2 variables were not included as their  $p$ -value was  $>0.05$ . The need for dental treatment was lower (OR=0.53 95%CI 0.28;0.98) in individuals with higher family income and higher among women (OR=2.28 95%CI 1.21;4.32). None of the groups showed statistical significance (Table 2).

## Discussion

More than half the adults attending the FOUFF clinics needed dental treatment for dental caries or tooth loss. Poorer individuals and women had the greatest need for dental treatment for these causes.

The main limitations of this study lie in the power of the sample. The sample size calculation was

based on the proportion of individuals exposed and not exposed to health inequalities and not on the prevalence of the dependent variable: the need for dental treatment. *Post hoc* analysis of test power, based on the prevalence found in our study, found power of less than 65%, with minimum OR equal to 2.2, and OR to be detected with a power of 80%, based on the proportion found in a similar study which was equal to 3.2. Despite having a random sampling process, the sample studied does not allow extrapolation to other population groups, because it reflects only those who had access to FOUFF outpatient clinics. Over half of the sample analyzed (56.1%) needed treatment for dental caries or tooth loss; however, this prevalence reflects people who sought treatment on their own initiative and who had greater access, given that making an appointment depended upon prior registration. In Brazil, 44% of the population had consulted a dentist in the last 12 months, according to the 2013 National Health Survey.<sup>16</sup> This increased demand for treatment in our study sample also influenced dental caries prevalence greater than 70% in the adults in our study, while in Brazil as a whole this prevalence is much lower among adults (9.5%) and the elderly (13.9%). Another limitation refers to the cross-sectional study design, which does not allow the identification of causal inferences, as well as possible information and memory biases on the part of patients interviewed.

Prevalence of tooth loss, as assessed by the need for some type of prosthesis, was lower than that found for Brazil as a whole in the most recent oral health survey, according to which the need for some type of prosthesis in adults aged 35 to 44 years occurred in 68.8% of cases.<sup>12</sup> A possible explanation for the lower prevalence of tooth loss is related to the characteristics of the sample, restricted to people who already have access to a specialized public clinic, with a large part of the interviewees only seeking restorative treatment.

Worldwide, lower dental caries prevalence is found among people with higher purchasing power, compared to those with low income. In Brazil, the worst oral health conditions are related to poverty and a lower level of income.<sup>18</sup> In this study, the majority of the sample was comprised of low-income people in need of restorative treatment, which may also have influenced the higher prevalence and associations found.

**Table 1 – Prevalence of need for dental treatment by adults (N=212), according to socioeconomic, demographic and access to service factors, Fluminense Federal University School of Dentistry, Niterói, RJ, 2013**

Variables	Need for treatment		Does not need of treatment		P-value <sup>a</sup>
	n	(%)	n	(%)	
<b>Level 1</b>					
<b>Average family income (in monthly minimum wages)</b>					
≤2	77	59.7	52	40.3	0.091
>2	31	47.0	35	53.0	
<b>Household Density</b>					
Up to 3 people	62	57.9	45	43.1	0.743
4 and more people	54	55.7	43	44.3	
<b>Number of appliances</b>					
≤6	56	59.6	38	40.4	0.419
>6	61	54.0	52	46.0	
<b>Level 2</b>					
<b>Health Plan</b>					
Private Plan	36	59.0	25	41.0	0.612
Only Brazilian National Health System (SUS)	80	55.2	65	44.8	
<b>Reason for appointment</b>					
Free of charge	50	50.5	49	49.5	0.059
Specialized Treatment	34	66.7	17	33.3	
<b>Education level (in years of schooling)</b>					
≤11	53	57.0	40	43.0	0.667
>11	61	54.0	52	46.0	
<b>Level 3</b>					
<b>Sex</b>					
Male	26	43.3	34	56.7	0.018
Female	93	61.2	59	38.8	
<b>Age group (in years)</b>					
18-40	59	60.2	39	39.8	0.127
41-59	43	47.8	47	52.2	
≥60	14	66.7	7	33.3	

a) Chi-square test.

Other surveys that also used convenience samples found greater demand for oral health treatment by women on the SUS.<sup>19,20</sup> Despite universal access, men do not feel encouraged to seek care, due to questions of health service organization and other barriers.<sup>19</sup> A survey conducted in 2008 in the city of Pelotas, in the state of Rio Grande do Sul, with a sample of 2,961 individuals, found increased demand and increased use of dental services by females, and association of this demand with

greater schooling, prior guidance on prevention and greater income level, among other factors.<sup>21</sup> These results may also explain higher treatment needs detected in the women in our study, to the extent that greater demand for treatment in this group would increase the probability of their selection in the sample.

The need for dental treatment was associated with socioeconomic inequalities. Another study, which was not limited to users of health care

**Table 2 – Crude and adjusted Odds Ratio (OR) and 95% confidence interval (95%CI) for adults (N=212) needing dental treatment, by socioeconomic, demographic and access to service factors, Fluminense Federal University School of Dentistry, Niterói, RJ, 2013**

Variables	Crude OR (95% CI)	P-value	Adjusted OR <sup>a</sup> (95% CI)	P-value	Adjusted OR <sup>b</sup> (95% CI)	P-value
<b>Level 1</b>						
<b>Family income (in monthly minimum wages)</b>						
≤2	1.00	–	1.00	–	1.00	–
>2	0.52 (0.26;1.00)	0.051	0.61 (0.27;1.33)	0.055	0.53 (0.28;0.98)	0.045
<b>Household Density</b>						
Up to 3 people	1.00	–	–	–	–	–
4 and more people	1.07 (0.56;2.01)	0.833	–	–	–	–
<b>Number of appliances</b>						
≤6	1.00	–	–	–	–	–
>6	0.89 (0.46;1.73)	0.747	–	–	–	–
<b>Level 2</b>						
<b>Health Plan</b>						
Private	1.00	–	–	–	–	–
Only The Brazilian National Health System (SUS)	0.95 (0.48;2.29)	0.899	–	–	–	–
<b>Reason for appointment</b>						
Free of charge	1.00	–	–	–	–	–
Specialized Treatment	0.53 (0.25;1.14)	0.303	–	–	–	–
<b>Education level (in years of schooling )</b>						
≤11	1.00	–	–	–	–	–
>11	0.90 (0.45;1.82)	0.779	–	–	–	–
<b>Level 3</b>						
<b>Sex</b>						
Male	1.00	–	–	–	1.00	–
Female	2.06 (1.12;3.78)	0.019	2.06 (1.11;3.82)	0.021	2.28 (1.21;4.32)	0.011
<b>Age group (in years)</b>						
18-40	1.00	–	–	–	–	–
41-59	1.74 (0.96;3.13)	0.065	–	–	–	–
≥60	0.79 (0.29;2.18)	0.659	–	–	–	–

a) Model 1: variables selected from level 1 + level 2.

b) Model 2: selected variables (level 1 + level 2) + level 3 with p&lt;0.05.

services but rather involved people with lower purchasing power, found that oral health conditions worsened when there was less access to prevention and care services.<sup>22,23</sup> These findings suggest the existence of oral health inequalities, possibly related to less access to prevention actions and to dental treatment services.

The importance of controlling and reducing inequalities in relation to the occurrence of dental

caries and its treatment is based on the ethical imperative of avoiding these inequalities becoming health inequities, as they are avoidable, unfair and unnecessary.<sup>24</sup>

The need for dental treatment due to caries and tooth loss in patients attending Fluminense Federal University School of Dentistry outpatient clinics was high, especially in women and individuals with lower family income.



## Authors' contributions

Novaes LCM was responsible for developing the study, reviewing the literature, data collection and analyses and writing the article. Alves Filho P participated in the data analysis and drafting and

revising the manuscript. Corvinus MPF and Novaes TA participated in the data analysis, as well as in writing the manuscript. All the authors have approved the final version and declared themselves to be responsible for all aspects of the study, ensuring its accuracy and integrity.

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